STIC Search Report

EIC 3600

USPTO

STIC Database Tracking Number: 222650

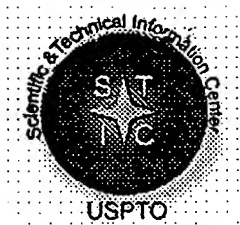|	
TO: Kirsten Apple **Location: Knox 5A78** **Art Unit : 3693** **May 4, 2007** **Case Serial Number: 09/893596**	**From: Caryn Wesner-Early** **Location: EIC 3600** **Knox 4C29** **Phone: 2-3543** **Caryn.Wesner-Early@uspto.gov**

Search Notes

If a modification or re-focus of this search is needed, please let me know.

Caryn S. Wesner-Early, MSLS
Technical Information Specialist
EIC 3600, US Patent & Trademark Office
Phone: (571) 272-3543
Fax: (571) 273-0046
caryn.wesner-early@uspto.gov

STIC EIC 3600
Search Request Form

(B)

Today's Date:	Class/Subclass	What date would you like to use to limit the search?
	705/37	Priority Date: 6/29/01 Other:

Name: Kirsten Apple

AU 3693   Examiner # 28124

Room # 5A78   Phone 25589

Serial # 09/893,596

Format for Search Results (Circle One):

(PAPER)   DISK   EMAIL

Where have you searched so far?

USP   DWPI   EPO   JPO   ACM   IBM TDB

IEEE   INSPEC   SPI   Other _____

What is the topic, novelty, motivation, utility, or other specific details defining the desired focus of this search? Please include the concepts, synonyms, keywords, acronyms, definitions, strategies, and anything else that helps to describe the topic. Please attach a copy of the abstract, background, brief summary, pertinent claims and any citations of relevant art you have found.   g06f, g06g

For allowance. need by 4/27/07

(stock(s) or portfolio)

and

if too many hit

include.

~~tax~~

and

(roll-overs or wash-sales.

"Rush"

JEFFREY SMITH
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 3600

STIC Searcher	Phone
Date picked up	Date Completed

sira
Research and Information
Resources Administration

TECHNOLOGY CENTER 3600
SUPERVISORY PATENT EXAMINER
JEFFREY A. SMITH

STIC Search Results
Feedback Form

USPTO

Questions about the scope or the results of the search? Contact *the EIC searcher or contact*:

Karen Lehman, EIC 3600 Team Leader
(571) 272-3496 Knox 4B68

Voluntary Results Feedback Form

➢ *I am an examiner in Workgroup:* ☐ *Example: 3620 (optional)*

➢ *Relevant prior art **found**, search results used as follows:*

☐ 102 rejection

☐ 103 rejection

☐ Cited as being of interest.

☐ Helped examiner better understand the invention.

☐ Helped examiner better understand the state of the art in their technology.

  Types of relevant prior art found:

  ☐ Foreign Patent(s)

  ☐ Non-Patent Literature
  (journal articles, conference proceedings, new product announcements etc.)

➢ *Relevant prior art **not found:***

  ☐ Results verified the lack of relevant prior art (helped determine patentability).

  ☐ Results were not useful in determining patentability or understanding the invention.

Comments:

sira
Search and Information
Resources Administration

```
? show files;ds
File 347:JAPIO Dec 1976-2006/Dec(Updated 070403)
        (c) 2007 JPO & JAPIO
File 348:EUROPEAN PATENTS 1978-2007/ 200716
        (c) 2007 EUROPEAN PATENT OFFICE
File 349:PCT FULLTEXT 1979-2007/UB=20070426UT=20070319
        (c) 2007 WIPO/Thomson
File 350:Derwent WPIX 1963-2007/UD=200727
        (c) 2007 The Thomson Corporation
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        (c) 2002 INPI.  All rts. reserv.
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        (c) format only 2007 Dialog


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        (c) format only 2007 Dialog
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        (c) 2007 J. Whitaker & Sons Ltd.
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        (c) 2007 ProQuest Info&Learning
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        (c) 2007 Institution of Electrical Engineers
File  35:Dissertation Abs Online 1861-2007/Apr
        (c) 2007 ProQuest Info&Learning
File  65:Inside Conferences 1993-2007/May 03
        (c) 2007 BLDSC all rts. reserv.
File  99:Wilson Appl. Sci & Tech Abs 1983-2007/Mar
        (c) 2007 The HW Wilson Co.
File 474:New York Times Abs 1969-2007/May 03
        (c) 2007 The New York Times
File 475:Wall Street Journal Abs 1973-2007/May 03
        (c) 2007 The New York Times
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
        (c) 2002 The Gale Group
File 256:TecInfoSource 82-2007/Apr
        (c) 2007 Info.Sources Inc
File 139:EconLit 1969-2007/Apr
        (c) 2007 American Economic Association
File   9:Business & Industry(R) Jul/1994-2007/May 02
        (c) 2007  The Gale Group
File  15:ABI/Inform(R) 1971-2007/May 02
        (c) 2007 ProQuest Info&Learning
File  16:Gale Group PROMT(R) 1990-2007/May 02
        (c) 2007 The Gale Group
File  20:Dialog Global Reporter 1997-2007/May 03
        (c) 2007 Dialog
File 148:Gale Group Trade & Industry DB 1976-2007/May 02
        (c)2007 The Gale Group
File 160:Gale Group PROMT(R) 1972-1989
        (c) 1999 The Gale Group
File 275:Gale Group Computer DB(TM) 1983-2007/May 02
        (c) 2007 The Gale Group
File 476:Financial Times Fulltext 1982-2007/May 03
        (c) 2007 Financial Times Ltd
File 610:Business Wire 1999-2007/May 03
        (c) 2007 Business Wire.
File 613:PR Newswire 1999-2007/May 03
        (c) 2007 PR Newswire Association Inc
File 621:Gale Group New Prod.Annou.(R) 1985-2007/Apr 30
        (c) 2007 The Gale Group
File 624:McGraw-Hill Publications 1985-2007/Apr 25
```

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          (c) 2007 McGraw-Hill Co. Inc
File 634:San Jose Mercury  Jun 1985-2007/Apr 29
          (c) 2007 San Jose Mercury News
File 636:Gale Group Newsletter DB(TM) 1987-2007/May 02
          (c) 2007 The Gale Group
File 810:Business Wire 1986-1999/Feb 28
          (c) 1999 Business Wire
File 813:PR Newswire 1987-1999/Apr 30
          (c) 1999 PR Newswire Association Inc
File 267:Finance & Banking Newsletters 2007/Apr 23
          (c) 2007 Dialog
File 268:Banking Info Source 1981-2007/Apr W4
          (c) 2007 ProQuest Info&Learning
File 625:American Banker Publications 1981-2007/May 01
          (c) 2007 American Banker
File 626:Bond Buyer Full Text 1981-2007/May 02
          (c) 2007 Bond Buyer
File  13:BAMP 2007/Apr W4
          (c) 2007  The Gale Group
File  56:Computer and Information Systems Abstracts 1966-2007/Apr
          (c) 2007 CSA.
File  75:TGG Management Contents(R) 86-2007/Apr W4
          (c) 2007 The Gale Group
File 249:Mgt. & Mktg. Abs. 1976-2007Apr W4
          (c) 2007 Pira International
File 996:NewsRoom 2000-2001
          (c) 2007 Dialog


Set      Items    Description
S1          8     AU='BIONDI M':AU='BIONDI M J'
S2          1     AU='BIONDI MATTHEW'
S3        215     AU='BIONDI, M':AU='BIONDI, M.A.'
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S5          5     IV='BIONDI M':IV='BIONDI M C'
S6          1     IV='BIONDI MATTHEW'
S7          1     IV='BIONDI, MATTHEW'
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S9          1     AU='COIRA, ROBERT S.'
S10         1     AU='COIRA, ROBERT S.'
S11         1     IV='COIRA ROBERT S'
S12         1     IV='COIRA, ROBERT S.'
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S24        40     AU='KENT MICHAEL':AU='KENT MICHAEL JOHN LEIGHTON BUZZARD B-
                  EDFORDSHIR'
S25         4     AU='KENT MICHAEL 12181 MARBLE CIRCLE GARDEN GROVE':AU='KENT
                  MIKE DR CARMYLLIE BY ARBROATH GB'
S26        16     IV='KENT, MICHAEL':IV='KENT, MICHAEL JOHN, LEIGHTON BUZZAR-
                  D, BEDFORDS'
S27         4     IV='KENT, MICHAEL, GB':IV='KENT, MICHAEL, J., 7700 PORTMAN
                  AVENUE, FORT W'
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S37        4    AU='MACNAMARA T M':AU='MACNAMARA THEREZA M.'
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S45        2    AU='MCNAMARA, T.M.'
S46        1    AU='MCNAMARA, TERESA, 1935-'
S47        4    AU='MCNAMARA, TERRY':AU='MCNAMARA, TERRY, 1923-'
S48        1    AU='MCNAMARA, THERESA M.'
S49        1    AU='HARDING-HESSE A K':AU='HARDING-HESSE, ANN KATHLEEN'
S50        4    AU='HARDING ANN'
S51       77    AU='HARDING, ANN'
S52        5    AU='HARDING, ANN, ED.':AU='HARDING, ANN, ET AL.'
S53        1    IV='HARDING-HESSE, ANN KATHLEEN'
S54        3    IV='HARDING ANN'
S55        3    IV='HARDING, ANN'
S56      136    AU=((HARDING-HESSE OR HARDING OR HESSE)(2N)(ANN OR AK OR A-
                .K. OR AH OR A.H.) OR (MCNAMARA OR MACNAMARA OR MC NAMARA)(2N-
                )(THERESA OR TERESA OR TERRY OR TM OR T.M.) OR KENT(2N)(MICHA-
                EL OR MIKE OR MJ OR M.J.) OR PANZICA(2N)(CHRISTOPHER OR CHRIS)
                OR DERSTINE(
S57        1    BY=((HARDING-HESSE OR HARDING OR HESSE)(2N)(ANN OR AK OR A-
                .K. OR AH OR A.H.) OR (MCNAMARA OR MACNAMARA OR MC NAMARA)(2N-
                )(THERESA OR TERESA OR TERRY OR TM OR T.M.) OR KENT(2N)(MICHA-
                EL OR MIKE OR MJ OR M.J.) OR PANZICA(2N)(CHRISTOPHER OR CHRIS)
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S58        0    IC=((HARDING-HESSE OR HARDING OR HESSE)(2N)(ANN OR AK OR A-
                .K. OR AH OR A.H.) OR (MCNAMARA OR MACNAMARA OR MC NAMARA)(2N-
                )(THERESA OR TERESA OR TERRY OR TM OR T.M.) OR KENT(2N)(MICHA-
                EL OR MIKE OR MJ OR M.J.) OR PANZICA(2N)(CHRISTOPHER OR CHRIS)
                OR DERSTINE(
S59      410    S1:S50
S60      609    S51:S59
S61      183    S60 FROM 347,348,349,350,371
S62       20    IC=(G06F OR G06Q)
S63       20    S61 AND S62
S64       20    IDPAT (sorted in duplicate/non-duplicate order)
S65       20    IDPAT (primary/non-duplicate records only)
S66      426    S60 NOT S61
S67       27    STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR
                SHARE OR SHARES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VE-
                HICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR
                INVESTMENTS
S68        8    S66 AND S67
S69       44    TAX?? OR ASSESSMENT OR ASSESSMENTS OR TARIFF OR TARIFFS OR
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S70       41    S66 AND S69
S71       66    FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-
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              OR SYNTAX OR SYNTACTICAL)()ANALYS?S
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S74     21    S68 OR S73
S75     17    S74 NOT PY>2001
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S77     14    RD   (unique items)
S78     34    S65 OR S77
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78/3,K/6   (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0016502175 - Drawing available
WPI ACC NO: 2007-218401/200722
XRPX Acc No: N2007-162184
**Investment account transaction alteration approval method involve
identifying rule for altering reconciliation record of transaction
associated with sponsor based on alteration of reconciliation record of
transaction associated with sponsor**
Patent Assignee: FIGLIK D (FIGL-I); GERSHON S (GERS-I); GIORDANO L
    (GIOR-I); HARDING A (HARD-I); KANDRAVY A (KAND-I); MCKINNEY W (MCKI-I)
    ; PIERDINOCK M (PIER-I); SMITH C R (SMIT-I)
Inventor: FIGLIK D; GERSHON S; GIORDANO L; HARDING A; KANDRAVY A; MCKINNEY
    W; PIERDINOCK M; SMITH C R
Patent Family (1 patents, 1 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	
US 20070005493	A1	20070104	US 2005169018	A	20050629	200722	B
			US 2006377803	A	20060316		

Priority Applications (no., kind, date): US 2005169018 A 20050629; US
    2006377803 A 20060316
Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20070005493	A1	EN	20	7	C-I-P of application US 2005169018

Class Codes
International Classification (+ Attributes)
IPC + Level Value Position Status Version
    G06Q-0040/00 ...

Original Publication Data by Authority

Inventor name & address:
... **Harding, Ann**

78/3,K/8   (Item 3 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0016433173 - Drawing available
WPI ACC NO: 2007-149371/200715
XRPX Acc No: N2007-107632
**Computerized method for resolving violation of investment account
restriction, involves executing resolution rule to complete investment
account management action when management action violates restriction
definition**
Patent Assignee: CHECKFREE CORP (CHEC-N)
Inventor: FELICIANO A; FIGLIK D; GERSHON S; GIORDANO L; HARDING A; KANDRAVY
    A; MCKINNEY W; PIERDINOCK M; SMITH C
Patent Family (1 patents, 1 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	
US 20060277127	A1	20061207	US 2005146015	A	20050607	200715	B

Priority Applications (no., kind, date): US 2005146015 A 20050607

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20060277127	A1	EN	14	3	

Class Codes
International Classification (+ Attributes)
IPC + Level Value Position Status Version
   G06Q-0040/00 ...

Original Publication Data by Authority

Inventor name & address:
... **Harding, Ann**

 78/3,K/13   (Item 8 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0012470321 - Drawing available·
WPI ACC NO: 2002-416712/200244
XRPX Acc No: N2002-327906
**Client computer-based method for controlling accessibility of information,
includes an escrow system that automatically makes information inaccessible
regardless of how many copies have been made**
Patent Assignee: ABSOLUTEFUTURE INC (ABSO-N)
Inventor: **MCNAMARA T**
Patent Family (2 patents, 93 countries)

Patent			Application			
Number	Kind	Date	Number	Kind	Date	Update
WO 2002029516	A2	20020411	WO 2001US30939	A	20011002	200244	B
AU 200215314	A	20020415	AU 200215314	A	20011002	200254	E

Priority Applications (no., kind, date): US 2000237550 P 20001002

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002029516	A2	EN	25	7	

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY
    BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID
    IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ
    NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZW
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH
    GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW
AU 200215314   A   EN   Based on OPI patent   WO 2002029516

Inventor: **MCNAMARA T**

Class Codes
International Classification (Main): **G06F**

 78/3,K/26   (Item 1 from file: 139)
DIALOG(R)File 139:EconLit
(c) 2007 American Economic Association. All rts. reserv.

353101
REVIEW OF: Lifetime income distribution and redistribution: Applications of

a microsimulation model
REVIEWER(S): Slottje, Daniel J.
REVIEWER(S) AFFILIATION: Southern Methodist U
JOURNAL NAME: Journal of Economic Literature,
JOURNAL VOLUME & ISSUE: 33 2,
PAGES: 832-834
PUBLICATION DATE: June 1995
ISSN: 0022-0515
DOCUMENT TYPE: Book Review
BOOK(S) REVIEWED:
    Harding, Ann . Lifetime income distribution and redistribution:
       Applications of a microsimulation model. Contributions to Economic
      Analysis, vol. 221. Amsterdam; London and Tokyo: North-Holland;
      distributed in the U.S. and Canada by Elsevier Science, New York,
      1993. (ISBN: 0-444-89843-3)

    Harding, Ann .
TEXT:
...   the target population and you then subject them to what I will
  refer to as **filters** . Harding begins with 2000 men and 2000 women. The
  filters are the transformations that take place as we age. Individuals
  go to school, they go...

... order. The attendant probabilities of all of these events are used as
  guidelines for the **filters** as a person progresses. After going
  through all of this, income is earned and the...

 78/3,K/27   **(Item 1 from file: 15)**
DIALOG(R)File 15:ABI/Inform(R)

00777041 94-26433
Lifetime vs annual tax-transfer incidence: How much less progressive?
 Harding, Ann
Economic Record v69n205 PP: 179-192 Jun 1993
ISSN: 0013-0249 JRNL CODE: IERC
WORD COUNT: 7822

 Harding, Ann
...TEXT: participation, including hours in employment or unemployment each
year; earnings and other private income from **investments** , interest, rent,
dividends and superannuation; receipt of all the major social security and
education cash...the labour force, live in families where other members
earn income and are assumed to **share** this income. The distribution of
family or household income is therefore significantly more equal than...

78/AA,AN,AZ,TI/1   (Item 1 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

01957396
System for capturing project time and expense data
System zum erfassen von Projektzeit- und -Unkostendaten
Systeme pour la saisie de donnees relatives au temps et aux depenses de
    projets
APPLICATION (CC, No, Date):   EP 2005102003 050315;
PRIORITY (CC, No, Date): US 801198 040315

78/AA,AN,AZ,TI/2   (Item 2 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

00755037
Integrated test and measurement means and method employing a graphical user
    interface
Integriertes   Pruf-   und   Messmittel   und   -verfahren mit grafischer
    Benutzerschnittstelle
Moyen et procede integre de test et de mesure avec interface graphique de
    l'utilisateur
APPLICATION (CC, No, Date):   EP 95116867 951026;
PRIORITY (CC, No, Date): US 333907 941103

78/AA,AN,AZ,TI/3   (Item 3 from file: 348)
DIALOG(R)File 348:(c) 2007 EUROPEAN PATENT OFFICE. All rts. reserv.

00170504
Multicomputer digital processing system.
Digitales Multirechner-Verarbeitungssystem.
Systeme de traitement numerique a multi-ordinateur.
APPLICATION (CC, No, Date):   EP 85113839 851030;
PRIORITY (CC, No, Date): US 666991 841031

78/AA,AN,AZ,TI/4   (Item 1 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00444348
MULTIMEDIA INFORMATION AND CONTROL SYSTEM FOR AUTOMOBILES
SYSTEME DE COMMANDE ET D'INFORMATION MULTIMEDIA DESTINE AUX AUTOMOBILES
  Application: WO 98US1119 19980105   (PCT/WO US9801119)

78/AA,AN,AZ,TI/5   (Item 2 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00230182
MODULAR CARD PROCESSING SYSTEM
SYSTEME MODULAIRE DE TRAITEMENT DE CARTE
  Application: WO 92US6892 19920814   (PCT/WO US9206892)

78/AA,AN,AZ,TI/6   (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0016502175
WPI ACC NO: 2007-218401/

Caryn S. Wesner-Early, MSLS   EIC 3600   03-May-07 1

Investment account transaction alteration approval method involve
identifying rule for altering reconciliation record of transaction
associated with sponsor based on alteration of reconciliation record of
transaction associated with sponsor

Original Titles:
Sponsor-manager reconciliation in handling of custodian transactions
Local Applications (No Type Date): US 2005169018 A 20050629; US
  2006377803 A 20060316
Priority Applications (no., kind, date): US 2005169018 A 20050629; US
  2006377803 A 20060316

  78/AA,AN,AZ,TI/7   (Item 2 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0016502154
WPI ACC NO: 2007-218380/
**Approval method of reconciliation of investment account transaction
involves altering investment account data repository based upon reconciling
received record with stored record**

Original Titles:
Joint sponsor-manager handling of custodian transactions
Local Applications (No Type Date): US 2005169018 A 20050629
Priority Applications (no., kind, date): US 2005169018 A 20050629

  78/AA,AN,AZ,TI/8   (Item 3 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0016433173
WPI ACC NO: 2007-149371/
**Computerized method for resolving violation of investment account
restriction, involves executing resolution rule to complete investment
account management action when management action violates restriction
definition**

Original Titles:
Automated actions based on restrictions
Local Applications (No Type Date): US 2005146015 A 20050607
Priority Applications (no., kind, date): US 2005146015 A 20050607

  78/AA,AN,AZ,TI/9   (Item 4 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015728904
WPI ACC NO: 2006-290794/
**Wireless communication handling method for single channel multiple-input
multiple-output system, involves determining channel weight for each
antenna based on peak value of determined signal strength parameters**

Original Titles:
Method and system for weight determination in a single channel (SC)
multiple-input multiple-output (MIMO) system for WCDMA/HSDPA
Local Applications (No Type Date): US 2004616298 P 20041006; US

```
    2005174252  A  20050630
Priority Applications (no., kind, date): US 2004616298  P  20041006; US
    2005174252  A  20050630
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78/AA,AN,AZ,TI/10   (Item 5 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015449600
WPI ACC NO: 2006-009463/
**Chemical facility e.g. factory`s, lifecycle managing method for use in
digital computer, involves providing integration mechanism, and using
information to define facility that is shared by integration mechanism**

Original Titles:
Apparatus, system and method for integrated lifecycle management of a
facility
Local Applications (No Type Date): US 2004855272 A 20040527
Priority Applications (no., kind, date): US 2004855272 A 20040527

78/AA,AN,AZ,TI/11   (Item 6 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015033428
WPI ACC NO: 2005-381418/
**Image data processing system, has memory receiving data from image source
at rate greater than that of image data sink, and transferring data to sink
in first-in first-out manner, or speed of link used between source and sink**

Original Titles:
Multi-job image buffering system and method
Local Applications (No Type Date): US 2000185122 P 20000225; US
    2000571251 A 20000516
Priority Applications (no., kind, date): US 2000185122 P 20000225; US
    2000571251 A 20000516

78/AA,AN,AZ,TI/12   (Item 7 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0013615828
WPI ACC NO: 2003-711173/
**Trading proposal generation method for optimizing tax consequences,
involves generating trading proposal for tax lots of single security for
each investor account, based on selection criteria**

Original Titles:
System and method for multiple account single security trading
Local Applications (No Type Date): US 2001893596 A 20010629
Priority Applications (no., kind, date): US 2001893596 A 20010629

78/AA,AN,AZ,TI/13   (Item 8 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

Caryn S. Wesner-Early, MSLS   EIC 3600   03-May-07 3

0012470321
WPI ACC NO: 2002-416712/
**Client computer-based method for controlling accessibility of information,
includes an escrow system that automatically makes information inaccessible
regardless of how many copies have been made**

Original Titles:
METHOD AND SYSTEM FOR ESCROWING OF DECRYPTION KEYS
PROCEDE ET SYSTEME D'ENTIERCEMENT DE CLES DE CHIFFREMENT
Local Applications (No Type Date): WO 2001US30939 A 20011002; AU
   200215314 A 20011002
Priority Applications (no., kind, date): US 2000237550 P 20001002

 78/AA,AN,AZ,TI/14   (Item 9 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012297198
WPI ACC NO: 2002-238322/
**Videoconferencing method using Internet protocol network, involves storing
subscriber specific settings at particular location accessible by switch
which is installed at access point of IP network**

Original Titles:
Multiple subscriber videoconferencing system
Multiple subscriber videoconferencing system
Local Applications (No Type Date): US 2000191819 P 20000324; US
   2001819548 A 20010326; US 2001819548 A 20010326
Priority Applications (no., kind, date): US 2000191819 P 20000324; US
   2001819548 A 20010326

 78/AA,AN,AZ,TI/15   (Item 10 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0011054462
WPI ACC NO: 2001-399829/
**Program control initiating method for computer peripherals, involves
executing programs from upgraded firmware space, if previous firmware space
is erased or its firmware is invalid**

Original Titles:
Verfahren zur Einleitung von Programmkontrolle
Method for initiating program control
Methode de lancement de commande de logiciel
METHOD FOR STARTING PROGRAM CONTROL AND METHOD FOR STORING FIRMWARE
Method and apparatus for booting and upgrading firmware
Local Applications (No Type Date): EP 2000310029 A 20001110; BR 20005717
   A 20001113; JP 2000344549 A 20001113; US 1999439611 A 19991112
Priority Applications (no., kind, date): US 1999439611 A 19991112

 78/AA,AN,AZ,TI/16   (Item 11 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0009158998
WPI ACC NO: 1999-081390/

Map downloading system with GPS and transceiver. - Uses microprocessor to access map information via telecommunication link for display on personal device.

Original Titles:
SYSTEM ZUM HERUNTERLADEN VON KARTEN MIT GPS UND SENDER-EMPFAANGER
System zum herunterladen von karten mit gps und sender-empfanger
Map downloading system with GPS and transceiver
Systeme de transmission aval de donnees cartographiques dote d'un gps et d'un emetteur-recepteur
SYSTEM ZUM HERUNTERLADEN VON KARTEN MIT GPS UND SENDER-EMPFANGER
MAP DOWNLOADING SYSTEM WITH GPS AND TRANSCEIVER.
SYSTEME DE TRANSMISSION AVAL DE DONNEES CARTOGRAPHIQUES DOTE D'UN GPS ET D'UN EMETTEUR-RECEPTEUR
SYSTEM ZUM HERUNTERLADEN VON KARTEN MIT GPS UND SENDER-EMPFANGER
MAP DOWNLOADING SYSTEM WITH GPS AND TRANSCEIVER
SYSTEME DE TRANSMISSION AVAL DE DONNEES CARTOGRAPHIQUES DOTE D'UN GPS ET D'UN EMETTEUR-RECEPTEUR
Personal communication and positioning system
Personal communication system for communicating voice data positioning information
Personal communication system for communicating voice data positioning information
Personal communication system to send and receive voice data positioning information.
Personal communication system for communicating voice data positioning information
Personal communication system for communicating voice data positioning information
Personal communication and positioning system
MAP DOWNLOADING SYSTEM WITH GPS AND TRANSCEIVER
Local Applications (No Type Date): WO 1998US12829 A 19980619; AU
  199879812 A 19980619; EP 1998930417 A 19980619; WO 1998US12829 A
  19980619; US 1997879955 A 19970620; WO 1998US12829 A 19980619; JP
  1999504866 A 19980619; US 1997879955 A 19970620; US 2000669527 A
  20000925; US 1997879955 A 19970620; US 1998126936 A 19980730; US
  2000670002 A 20000925; US 2002315661 A 20021209; US 1997879955 A
  19970620; US 2000669527 A 20000925; US 2003376971 A 20030227; US
  1997879955 A 19970620; US 2000669527 A 20000925; US 2003376971 A
  20030227; US 1997879955 A 19970620; US 2000669527 A 20000925; US
  2003376971 A 20030227; US 200579879 A 20050314; US 1997879955 A
  19970620; US 1998126936 A 19980730; US 2000670002 A 20000925; US
  2002315661 A 20021209; EP 1998930417 A 19980619; WO 1998US12829 A
  19980619; DE 69832608 A 19980619; EP 1998930417 A 19980619; WO
  1998US12829 A 19980619; EP 1998930417 A 19980619; WO 1998US12829 A
  19980619; EP 200522998 A 20051021; EP 1998930417 A 19980619; EP
  200522998 A 19980619; DE 69832608 A 19980619; EP 1998930417 A
  19980619; WO 1998US12829 A 19980619
Priority Applications (no., kind, date): US 1997879955 A 19970620; US
  1998126936 A 19980730; US 2000669527 A 20000925; US 2000670002 A
  20000925; US 2002315661 A 20021209; US 2003376971 A 20030227; US
  200579879 A 20050314

 78/AA,AN,AZ,TI/17 (Item 12 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0008897815
WPI ACC NO: 1998-447075/
Multimedia information and control system for automobile - indicates

association by highlighting selected option and item corresponding in identical colour, output provides information concerning aspect of vehicle represented by item corresponding to selected option

Original Titles:
MULTIMEDIAINFORMNATIONS UND STEUERSYSTEM FUR KRAFTFAHRZEUGE
MULTIMEDIA INFORMATION AND CONTROL SYSTEM FOR AUTOMOBILES
SYSTEME DE COMMANDE ET D'INFORMATION MULTIMEDIA DESTINE AUX AUTOMOBILES
MULTIMEDIAINFORMNATIONS UND STEUERSYSTEM FUR KRAFTFAHRZEUGE
MULTIMEDIA INFORMATION AND CONTROL SYSTEM FOR AUTOMOBILES
SYSTEME DE COMMANDE ET D'INFORMATION MULTIMEDIA DESTINE AUX AUTOMOBILES
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Brightness control for auto-focus in an optical system
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles
Multimedia information and control system for automobiles.
Method and system for adjusting settings of vehicle functions.
System and method for adjusting climate control in vehicles.
Technique for effectively locating an object.
Technique for effectively providing audio information in a vehicle.
Multimedia technique for operating devices in a vehicle.
Technique for effectively searching for information in a vehicle.
Method and system for adjusting a function in a vehicle.
Technique for providing information upon a notable condition in a vehicle
Technique for effectively communicating information concerning vehicle service providers to a user
Technique for providing information and control responsive to a request in a vehicle
Multimedia information and control system for automobiles
Technique for adopting an adjustment of a vehicle function
Technique for effectively providing information responsive to a notable condition in a vehicle
Technique for temporal climate control in a vehicle
Technique for effectively maintaining components of a vehicle·
Technique for preconditioning climate control in a vehicle
Technique for suggesting a service provider to service a vehicle
Technique for selecting a service provider to service a vehicle
MULTIMEDIA INFORMATION AND CONTROL SYSTEM FOR AUTOMOBILES
Local Applications (No Type Date): WO 1998US1119 A 19980105; AU 199862453
  A 19980105; EP 1998904615 A 19980105; WO 1998US1119 A 19980105; US
  1997789934 A 19970128; US 1997789934 A 19970128; US 1999401037 A
  19990921; US 1997789934 A 19970128; US 1999401039 A 19990921; US
  1997789934 A 19970128; US 1999401038 A 19990921; US 1997789934 A
  19970128; WO 1998US1119 A 19980105; US 1999355433 A 19990727; US
  1999401038 A 19990921; US 2001792349 A 20010223; US 1997789934 A
  19970128; US 1999401038 A 19990921; US 2001792351 A 20010223; US
  1997789934 A 19970128; WO 1998US1119 A 19980105; US 1999355433 A
  19990727; US 2001848391 A 20010503; US 1997789934 A 19970128; US
  1999401038 A 19990921; US 2001792348 A 20010223; US 1997789934 A

19970128; US 1999401039 A 19990921; US 2000717538 A 20001121; JP
1998534667 A 19980105; WO 1998US1119 A 19980105; US 1997789934 A
19970128; WO 1998US1119 A 19980105; US 1999355433 A 19990727; US
2001848391 A 20010503; US 200238346 A 20020102; US 1997789934 A
19970128; US 1999401039 A 19990921; US 2000717943 A 20001121; US
200117776 A 20011030; US 1997789934 A 19970128; WO 1998US1119 A
19980105; US 1999355433 A 19990727; US 2001848391 A 20010503; US
200238741 A 20020102; US 1997789934 A 19970128; US 1999401038 A
19990921; US 2001792351 A 20010223; US 1997789934 A 19970128; US
1999401039 A 19990921; US 2000717943 A 20001121; US 200117776 A
20011030; US 1997789934 A 19970128; US 1999401039 A 19990921; US
2000717943 A 20001121; US 1997789934 A 19970128; US 1999401038 A
19990921; US 2001792351 A 20010223; US 2002176444 A 20020620; US
1997789934 A 19970128; US 1999401039 A 19990921; US 2000717943 A
20001121; US 2002209107 A 20020730; US 1997789934 A 19970128; US
1999401039 A 19990921; US 2000717943 A 20001121; US 200117776 A
20011030; US 2002176802 A 20020621; US 1997789934 A 19970128; US
1999401038 A 19990921; US 2001792351 A 20010223; US 2002176444 A
20020620; US 1997789934 A 19970128; WO 1998US1119 A 19980105; US
1999355433 A 19990727; US 2001848391 A 20010503; US 200238741 A
20020102; US 1997789934 A 19970128; WO 1998US1119 A 19980105; US
1999355433 A 19990727; US 2001848391 A 20010503; US 1997789934 A
19970128; US 1999401039 A 19990921; US 2000717943 A 20001121; US
200117776 A 20011030; US 2002176802 A 20020621; US 1997789934 A
19970128; US 1999401039 A 19990921; US 2000717943 A 20001121; US
2002209107 A 20020730; US 1997789934 A 19970128; US 1999401038 A
19990921; US 2001792349 A 20010223; US 2004782581 A 20040219; US
1997789934 A 19970128; US 1999401038 A 19990921; US 2001792349 A
20010223; US 2004783513 A 20040220; US 1997789934 A 19970128; US
1999401038 A 19990921; US 2001792349 A 20010223; US 2004802604 A
20040317; US 1997789934 A 19970128; US 1999401038 A 19990921; US
2001792349 A 20010223; US 2004802604 A 20040317; US 1997789934 A
19970128; US 1999401038 A 19990921; US 2001792349 A 20010223; US
2004783513 A 20040220; US 1997789934 A 19970128; WO 1998US1119 A
19980105; US 1999355433 A 19990727; US 2001848391 A 20010503; US
200238346 A 20020102; US 200534287 A 20050112; US 1997789934 A
19970128; US 1999401038 A 19990921; US 2001792349 A 20010223; US
2004782581 A 20040219; US 200556806 A 20050211; US 1997789934 A
19970128; US 1999401038 A 19990921; US 2001792349 A 20010223; US
2004782581 A 20040219; US 1997789934 A 19970128; WO 1998US1119 A
19980105; US 1999355433 A 19990727; US 2001848391 A 20010503; US
200238346 A 20020102; US 200534287 A 20050112; US 2006417795 A
20060503; US 1997789934 A 19970128; WO 1998US1119 A 19980105; US
1999355433 A 19990727; US 2001848391 A 20010503; US 200238346 A
20020102; US 200534287 A 20050112; US 1997789934 A 19970128; WO
1998US1119 A 19980105; US 1999355433 A 19990727; US 2001848391 A
20010503; US 200238346 A 20020102; EP 1998904615 A 19980105; WO
1998US1119 A 19980105
Priority Applications (no., kind, date): US 1997789934 A 19970128; WO
1998US1119 A 19980105; US 1999355433 A 19990727; US 1999401037 A
19990921; US 1999401038 A 19990921; US 1999401039 A 19990921; US
2000717538 A 20001121; US 2000717943 A 20001121; US 2001792348 A
20010223; US 2001792349 A 20010223; US 2001792351 A 20010223; US
2001848391 A 20010503; US 200117776 A 20011030; US 200238346 A
20020102; US 200238741 A 20020102; US 2002176444 A 20020620; US
2002176802 A 20020621; US 2002209107 A 20020730; US 2004782581 A
20040219; US 2004783513 A 20040220; US 2004802604 A 20040317; US
200534287 A 20050112; US 200556806 A 20050211; US 2006417795 A
20060503

78/AA,AN,AZ,TI/18   (Item 13 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0006831347
WPI ACC NO: 1994-219786/
**Printing system for forming images from stream of image data written in
printer page description language - separates compressed bit-map from
stream of image data and creates table listing break entries of bit-map,
and decompresses selected segments of bit-map in parallel**

Original Titles:
Vorrichtung und Verfahren zum Speichern und Auslesen von Bilddaten
Apparatus and method for storing and printing image data
Appareil et procede pour mise en memoire et extraire des donnees d'image
Apparatus and method for parsing a stream of data including a bitmap and
creating a table of break entries corresponding with the bitmap
Local Applications (No Type Date): EP 1994300012 A 19940104; BR 19944 A
   19940103; EP 1994300012 A 19940104; US 199372 A 19930104
Priority Applications (no., kind, date): US 199372 A 19930104

78/AA,AN,AZ,TI/19   (Item 14 from file: 350)
DIALOG(R)File 350:(c) 2007·The Thomson Corporation. All rts. reserv.

0005452359
WPI ACC NO: 1991-052474/
**Service processor tester for testing menu - has knowledge base for mapping
screens coupled to user interface by program generator that tests
inter-screen path**

Original Titles:
Dienstprozessorpruefer
Service processor tester
Testeur de processeur de service
Dienstprozessorpruefer
Service processor tester
Testeur de processeur de service
Service processor tester
Local Applications (No Type Date): EP 1990113225 A 19900711; US
   1989394696 A 19890816; EP 1990113225 A 19900711; EP 1990113225 A
   19900711; DE 69023420 A 19900711; EP 1990113225 A 19900711
Priority Applications (no., kind, date): US 1989394696 A 19890816

78/AA,AN,AZ,TI/20   (Item 1 from file: 371)
DIALOG(R)File 371:(c) 2002 INPI. All rts. reserv. All rts. reserv.
000674368
Title: ORDINATEUR COMPORTANT DES CIRCUITS DE MANIPULATION DE BITS
  Application: FR 8110707 - 19810529
  Priority Application: US 80155317 - 19800602

78/AA,AN,AZ,TI/21   (Item 1 from file: 2)
DIALOG(R)File 2:(c) 2007 Institution of Electrical Engineers. All rts.
reserv.

04313724   INSPEC Abstract Number: A89026286, B89020376, C89015928

Title: Automated optical observatory for thermospheric dynamics studies

78/AA,AN,AZ,TI/22   (Item 2 from file: 2)

03434815   INSPEC Abstract Number: A85051393
  Title: Studies of equatorial 630.0 nm airglow enhancements produced by a chemical release in the F-region

78/AA,AN,AZ,TI/23   (Item 3 from file: 2)

02845419   INSPEC Abstract Number: A82048060
  Title: Studies of the motion of equatorial 630.0 nm airglow depletions

78/AA,AN,AZ,TI/24   (Item 4 from file: 2)

02244038   INSPEC Abstract Number: A78077401
   Title: Arecibo ionospheric modification experiments-correlation of
630.0-nm intensity suppressions and enhancements with backscatter radar
data

78/AA,AN,AZ,TI/25   (Item 5 from file: 2)

01976615   INSPEC Abstract Number: A76088392
   Title: Enhanced O I 6300-AA regions produced by the Platteville
ionospheric modification experiment

78/AA,AN,AZ,TI/26   (Item 1 from file: 139)

353101
REVIEW OF: Lifetime income distribution and redistribution: Applications of
     a microsimulation model
 REVIEWER(S) AFFILIATION: Southern Methodist U
 BOOK(S) REVIEWED:
 Lifetime income distribution and redistribution: Applications of a
       microsimulation model.

78/AA,AN,AZ,TI/27   (Item 1 from file: 15)

00777041 94-26433
Lifetime vs annual tax-transfer incidence: How much less progressive?

78/AA,AN,AZ,TI/28   (Item 1 from file: 148)

12753663   SUPPLIER NUMBER: 66496518
Trends in Child Poverty in Australia, 1982 to 1995-96.

  78/AA,AN,AZ,TI/29   (Item 1 from file: 56)
DIALOG(R)File 56:(c) 2007 CSA. All rts. reserv.

0000310526   IP ACCESSION NO: 371603
Deep submicron design techniques for the 500MHz IBM S/390 G5 custom microprocessor

  78/AA,AN,AZ,TI/30   (Item 1 from file: 996)
DIALOG(R)File 996:(c) 2007 Dialog. All rts. reserv.

0270520906 15GX0NF9
Total   synthesis   of cytochrome b562 by native chemical ligation using a removable auxiliary.

  78/AA,AN,AZ,TI/31   (Item 2 from file: 996)
DIALOG(R)File 996:(c) 2007 Dialog. All rts. reserv.

0250502349 15FP029E
The   Hunt   Cup heavyweight Steeplechase: Welter Weight, a consistent 13-year-old,   will   try to win   the Maryland Hunt Cup for the second time today in Glyndon. Horse Racing

  78/AA,AN,AZ,TI/32   (Item 3 from file: 996)
DIALOG(R)File 996:(c) 2007 Dialog. All rts. reserv.

0231528034 15EH0VE1
Essential Tips for Searching the Web.

  78/AA,AN,AZ,TI/33   (Item 4 from file: 996)
DIALOG(R)File 996:(c) 2007 Dialog. All rts. reserv.

0231527071 15EH0UFY
Teaching mediated public relations.(public relations course)

  78/AA,AN,AZ,TI/34   (Item 5 from file: 996)
DIALOG(R)File 996:(c) 2007 Dialog. All rts. reserv.

0156009824 157S09LZ
. . . . .

? show files;ds
File 347:JAPIO Dec 1976-2006/Dec(Updated 070403)
        (c) 2007 JPO & JAPIO
File 350:Derwent WPIX 1963-2007/UD=200727
        (c) 2007 The Thomson Corporation
File 371:French Patents 1961-2002/BOPI 200209
        (c) 2002 INPI. All rts. reserv.

```
Set     Items    Description
S1     167752    STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR
                 SHARE OR SHARES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VE-
                 HICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR
                 INVESTMENTS
S2      29414    TAX?? OR ASSESSMENT OR ASSESSMENTS OR TARIFF OR TARIFFS OR
                 TAXATION OR IRS OR (INTERNAL OR INLAND)()REVENUE
S3    2497769    ROLLOVER OR ROLLOVERS OR ROLL???(2W)(OVER OR OVERS) OR CON-
                 VERT??? OR CONVERSION OR CONVERSIONS OR TRANSFER OR TRANSFERS
                 OR TRANSFERR??? OR WASH()SALE OR WASHSALE OR MANIPULAT???
S4    1474375    FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-
                 ???? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL
                 OR SYNTAX OR SYNTACTICAL)()ANALYS?S
S5    2355577    AUTOMAT?? OR COMPUTERI? OR ELECTRONIC OR (PROGRAMMED OR DY-
                 NAMIC OR SMART OR INTELLIGENT)(2N)(AGENT OR AGENTS OR SERVO? -
                 OR BOT OR BOTS OR SYSTEM OR SYSTEMS) OR SOFTBOT OR SOFTBOTS OR
                 SOFT()(BOT OR BOTS) OR IA OR AI
S6        304    S1(10N)S2
S7      43305    S3(5N)S4
S8          0    S5(S)S6(S)S7
S9         46    S5(S)S6
S10   1413799    IC=(G06F OR G06Q)
S11        40    S9 AND S10
S12         5    S11(S)(S3 OR S4)
S13        13    S5(10N)S6
S14        18    S12 OR S13
S15        18    IDPAT (sorted in duplicate/non-duplicate order)
S16        18    IDPAT (primary/non-duplicate records only)
```

16/3,K/1   (Item 1 from file: 350)

0016521204 - Drawing available
WPI ACC NO: 2007-237430/200724
XRPX Acc No: N2007-176040
Device for identification of securities (variants)
Patent Assignee: AS BELO PHYS INST (ABPH-R); MAGIYA SVETA CO LTD (MAGI-R)
 ; MINSK MECH ENG WKS (MIME-R)
Inventor: BURSKII V A; KAZAK N S; MASLAKOV V N; MOISEENKO P V; SANNIKOV P A
 ; TANIN V L
Patent Family (1 patents, 1 countries)
Patent   Application
Number   Kind   Date   Number   Kind   Date   Update
RU 2290696   C2   20061227 RU 2004138538   A   20041228 200724 B

Priority Applications (no., kind, date): RU 2004138538 A 20041228

Patent Details
Number   Kind Lan   Pg Dwg Filing Notes
RU 2290696   C2   RU   1

   Alerting Abstract USE - Optical and **electronic** equipment, possible use
by customs and **tax** departments, and also criminalists for checking
authenticity of **securities** and documents...

16/3,K/4   (Item 4 from file: 350)

0015241987 - Drawing available
WPI ACC NO: 2005-592063/200561
XRPX Acc No: N2005-485685
**Computerized support platform for financial advisers, has internal
integration component to automatically provide external financial data to
financial tools, and customer data entered into one financial tool to other
financial tools**
Patent Assignee: NAT WEALTH MANAGEMENT SERVICES LTD (NAWE-N)
Patent Family (1 patents, 1 countries)
Patent   Application
Number   Kind   Date   Number   Kind   Date   Update
AU 2005200162   A1   20050811 AU 2005200162   A   20050114 200561 B

Priority Applications (no., kind, date): AU 2004900301 A 20040122

Patent Details
Number   Kind Lan   Pg Dwg Filing Notes
AU 2005200162   A1   EN   19   2

   Alerting Abstract ...modeler, risk manager, cash-flow manager, tax
manager, portfolio manager, debt manager, estate manager, strategy **filter**
, debt calculator or budgeting tool, for use by financial advisers to
deliver financial advice such...

16/3,K/11   (Item 11 from file: 350)

0012969305 - Drawing available
WPI ACC NO: 2003-046590/200304
XRPX Acc No: N2003-036770
**Financial investment portfolio optimization system transforms pre-tax
constraints and investment characteristics into set of after-tax
constraints and investment characteristics**
Patent Assignee: BERGMANN M D (BERG-I); YOO D (YOOD-I)
Inventor: BERGMANN M D; YOO D
Patent Family (1 patents, 1 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	
US 20020143682	A1	20021003	US 2000253918	P	20001129	200304	B
			US 2001995178	A	20011127		

Priority Applications (no., kind, date): US 2000253918 P 20001129; US
   2001995178 A 20011127

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
US 20020143682	A1	EN	13	3	Related to Provisional US 2000253918

Original Publication Data by Authority

Original Abstracts:
 There are computerized processes for financial **planning** for individuals
and groups whose financial portfolio would be subject **to** tax on certain
events. **But** these processes do not take into account these taxes when
optimizing investment decisions, since taxes...

 16/3,K/13   **(Item 13 from file: 350)**

0012865094 - Drawing available
WPI ACC NO: 2002-724025/200278
XRPX Acc No: N2002-570870
**Financial portfolio strategy converting apparatus converting into set of
tactics for fund managers has tactic generator engine labelling stock data
with tactic tag**
Patent Assignee: CHILD M (CHIL-I); HEWLETT-PACKARD CO (HEWP); MONT M C
   (MONT-I); PREIST C W (PREI-I)
Inventor: CASASSA MONT M; CHILD M; MONT M C; PREIST C W
Patent Family (3 patents, 98 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	
WO 2002084541	A2	20021024	WO 2002GB1679	A	20020411	200278	B
AU 2002251267	A1	20021028	AU 2002251267	A	20020411	200433	E
US 20040143533	A1	20040722	WO 2002GB1679	A	20020411	200449	E
			US 2003474069	A	20030930		

Priority Applications (no., kind, date): GB 20019074 A 20010411

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002084541	A2	EN	27	2	

National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY

BZ CA CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID
IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ
NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG US UZ
VN YU ZA ZM ZW
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH
GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW
AU 2002251267   A1 EN   Based on OPI patent   WO 2002084541
US 20040143533   A1 EN   PCT Application WO 2002GB1679

Original Publication Data by Authority

Original Abstracts:
...new portfolio data relating to a desired new financial portfolio; a
stock evaluation module for **storing** valuation data relating to an
assessment of stocks; a **tactic** generator **engine** operable to access the
stock data stored in **the** stock data store, the new portfolio data stored
in the new portfolio module, the valuation...

...a desired new financial portfolio; a stock evaluation module for storing
valuation data relating to **an** assessment of stocks; a tactic generator
engine operable to **access** the **stock** data stored in the stock data
store, the **new** portfolio data stored in the new portfolio module, the
valuation data stored in the stock...
Claims:
...financial portfolio; a stock evaluation module for storing valuation
data relating to an assessment of **stocks** ; a tactic generator engine
operable to access the stock **data** stored **in** the stock data store, the
new portfolio data stored in the new portfolio module, the...

16/3,K/14   (Item 14 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0012738752 - Drawing available
WPI ACC NO: 2002-591425/200263
XRPX Acc No: N2002-469313
**Assessing method for ability of securities processing system to apply
straight through processing to securities transactions**
Patent Assignee: ACCENTURE LLP (ACCE-N)
Inventor: BEACHAM M L; LOBEL S M; ORTMAN R T; YAN W
Patent Family (3 patents, 23 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	
WO 2002067080	A2	20020829	WO 2001US50794	A	20011024	200263	B
EP 1384180	A2	20040128	EP 2001273803	A	20011024	200409	E
			WO 2001US50794	A	20011024		
US 7127421	B1	20061024	US 2000696544	A	20001025	200670	E

Priority Applications (no., kind, date): US 2000696544 A 20001025

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
WO 2002067080	A2	EN	69	17	

National Designated States,Original: CA JP
Regional Designated States,Original: AT BE CH CY DE DK ES FI FR GB GR IE
IT LU MC NL PT SE TR

EP 1384180	A2	EN			PCT Application WO 2001US50794
					Based on OPI patent WO 2002067080

Regional Designated States,Original: AT BE CH CY DE DK ES FI FR GB GR IE
    IT LI LU MC NL PT SE TR

Original Publication Data by Authority

Claims:
...a system to apply straight through processing to securities
transactions, the method comprising: identifying one **or** more assessment
issues, the one or more assessment issues relating **to** automated
processing of securities management **functions** in the system **for**
processing securities **transactions** , wherein at least one of the one **or**
more assessment issues relates to the ability of the system **to** apply
straight through processing to securities transactions;storing, on a
computer readable medium, the identified...

16/3,K/15   (Item 15 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0012666175 - Drawing available
WPI ACC NO: 2002-516182/200255
One stop consolidated view of financial service system, and method therefor
Patent Assignee: CHO Y D (CHOY-I)
Inventor: CHO Y D
Patent Family (1 patents, 1 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update	
KR 2002008502	A	20020131	KR 200041728	A	20000720	200255	B

Priority Applications (no., kind, date): KR 200041728 A 20000720

Patent Details

Number	Kind	Lan	Pg	Dwg	Filing Notes
KR 2002008502	A	KO	1	10	

  Alerting Abstract ...a personal browser for utilizing a help function
using integrated messaging such as banks, insurances, **stocks** , investment,
property management, credit cards, communication, integrated milage, **tax**
payment and **electronic** commerce payment. An authentication institution
server(20) confirms one ID and password of a client...

16/3,K/16   (Item 16 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0012631210 - Drawing available
WPI ACC NO: 2002-480061/200251
XRPX Acc No: N2002-379107
Portfolio folder computer program contains computer executable code for creating folder
Patent Assignee: CHOBAN D (CHOB-I); CHOBAN G M (CHOB-I); NUVENTIVE LLC
    (NUVE-N)
Inventor: CHOBAN D; CHOBAN G M
Patent Family (6 patents, 96 countries)

Patent Number	Kind	Date	Application Number	Kind	Date	Update

```
WO 2002044855    A2   20020606  WO 2001US44901   A  20011130  200251  B
AU 200219962     A    20020611  AU 200219962     A  20011130  200264  E
US 20020194100   A1   20021219  WO 2001US44901   A  20011130  200303  E
                               US 2002130463    A  20020517
JP 2004517395    W    20040610  WO 2001US44901   A  20011130  200438  E
                               JP 2002546955    A  20011130
IN 200300822     P2   20041204  WO 2001US44901   A  20011130  200530  E
                               IN 2003KN822     A  20030624
MX 2003004820    A1   20050101  WO 2001US44901   A  20011130  200564  E
                               MX 20034820      A  20030529
```

Priority Applications (no., kind, date): US 2002130463 A 20020517; US
   2000250342 P 20001130

Patent Details
```
Number           Kind  Lan   Pg  Dwg  Filing Notes
WO 2002044855    A2   EN    62  13
```
National Designated States,Original: AE AG AL AM AT AU AZ BA BB BG BR BY
   BZ CA CH CN CO CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL
   IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO
   NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU
   ZA ZM ZW
Regional Designated States,Original: AT BE CH CY DE DK EA ES FI FR GB GH
   GM GR IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW
```
AU 200219962     A    EN             Based on OPI patent   WO 2002044855
US 20020194100   A1   EN             PCT Application   WO 2001US44901
JP 2004517395    W    JA    101      PCT Application   WO 2001US44901
                                     Based on OPI patent   WO 2002044855
IN 200300822     P2   EN             PCT Application   WO 2001US44901
MX 2003004820    A1   ES             PCT Application   WO 2001US44901
                                     Based on OPI patent   WO 2002044855
```
Original Titles:
Computerized portfolio and **assessment** system...

...A **COMPUTERIZED PORTFOLIO** AND **ASSESSMENT** SYSTEM...

   Alerting Abstract ...portfolio folder A method of creating and providing
access to a computerized portfolio folder An **assessment process** A
computer method of **sharing** personal information over the Internet A
communication system A server-generated HYML page A system...

   16/3,K/17   (Item 17 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2007 The Thomson Corporation. All rts. reserv.

0012506286 - Drawing available
WPI ACC NO: 2002-454238/200248
Related WPI Acc No: 2004-178288
XRPX Acc No: N2002-358312
**Investment portfolio management method for investors, involves repurchasing
securities sold to harvest tax losses and selling fund shares purchased for
replacement of sold securities after minimum delay period**
Patent Assignee: BROWN D P (BROW-I); SCHULZ D W (SCHU-I); MARSHALL &
   ILSLEY CORP (MARS-N)
Inventor: BROWN D P; SCHULZ D W
Patent Family (2 patents, 1 countries)
```
Patent                        Application
Number          Kind  Date   Number        Kind  Date    Update
```

```
US 20020059127   A1   20020516   US 1999322412    A   19990528   200248   B
                                  US 200251893     A   20020118
US 7031937       B2   20060418   US 200251893      A   20020118   200627   E
```

Priority Applications (no., kind, date): US 1999322412 A 19990528; US
   200251893   A   20020118

Patent Details
```
Number          Kind  Lan   Pg   Dwg  Filing Notes
US 20020059127  A1    EN    13    3   C-I-P of application  US 1999322412
```

   Alerting Abstract ...ADVANTAGE - A financial investment product provides
diversified investments and **tax   loss** harvesting for **small** to **medium**
sized investors. An automated investment is provided for systematically
harvesting tax losses...

16/AN,AZ,TI/1   (Item 1 from file: 350)

0016521204
Device for identification of securities (variants)
Local Applications (No Type Date): RU 2004138538 A 20041228
Priority Applications (no., kind, date): RU 2004138538 A 20041228

16/AN,AZ,TI/2   (Item 2 from file: 350)

0016007249
Object management for computing device involves providing report to user to
represent risk assessed for project and result of plan validation

Original Titles:
System and method for an automated project office and automatic risk
assessment and reporting
SYSTEM AND METHOD FOR AN AUTOMATED PROJECT OFFICE AND AUTOMATIC RISK
ASSESSMENT AND REPORTING
SYSTEME ET PROCEDE POUR BUREAU DE PROJET AUTOMATIQUE, EVALUATION ET
NOTIFICATION AUTOMATIQUE DE RISQUE
Local Applications (No Type Date): WO 2005US47212 A 20051230; US
  2004640104 P 20041230; US 2005321649 A 20051230
Priority Applications (no., kind, date): US 2005321649 A 20051230; US
  2004640104 P 20041230; US 2005249744 A 20051014

16/AN,AZ,TI/3   (Item 3 from file: 350)

0015545294
Automatic location dependent flood risk recognition method for river,
involves linking flood risk factor with river discharge values by
correlation module to generate event specific average probabilistic water
depth value for one event

Original Titles:
METHOD AND SYSTEM FOR AUTOMATED LOCATION-DEPENDENT RECOGNITION OF FLOOD
RISKS
PROCEDE ET SYSTEME DE RECONNAISSANCE LOCALISEE DES RISQUES D'INONDATIONS
Local Applications (No Type Date): WO 2004EP51317 A 20040630
Priority Applications (no., kind, date): WO 2004EP51317   A 20040630

16/AN,AZ,TI/4   (Item 4 from file: 350)

0015241987
Computerized support platform for financial advisers, has internal
integration component to automatically provide external financial data to
financial tools, and customer data entered into one financial tool to other
financial tools

Original Titles:
A computerised support platform for financial advisers

Local Applications (No Type Date): AU 2005200162 A 20050114
Priority Applications (no., kind, date): AU 2004900301 A 20040122

  16/AN,AZ,TI/5   (Item 5 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015220526
**Savings and bill payment system for employee, analyzes user's debt data and
provides recommendation with suggestion for minimizing user debt payment
and maximizing user saving, and payment hierarchy with user income for
savings and debts**

Original Titles:
PAY YOURSELF FIRST SYSTEM
Local Applications (No Type Date): US 2004542716 P 20040206; US
   2004552857 P 20040311; US 2004709701 A 20040524
Priority Applications (no., kind, date): US 2004542716 P 20040206; US
   2004552857 P 20040311; US 2004709701 A 20040524

  16/AN,AZ,TI/6   (Item 6 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015137861
**Operating method of communication device e.g. portable personal computer,
involves launching applications launching applications associated with
identified communication contact, in response to establishment of
communication connection**

Original Titles:
Communication device and method of operation therefor
Communication device for identifying, storing, managing and updating
application and data information with respect to one or more communication
contacts
COMMUNICATION DEVICE AND METHOD OF OPERATION THEREFOR
DISPOSITIF DE COMMUNICATION ET PROCEDE POUR LE FAIRE FONCTIONNER
Local Applications (No Type Date): US 2003738833 A 20031217; WO
   2004US42328 A 20041216; US 2003738833 A 20031217
Priority Applications (no., kind, date): US 2003738833 A 20031217

  16/AN,AZ,TI/7   (Item 7 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0015067505
**Enterprise risk assessment manger system for use by e.g. treasurer, has
java risk assessment manger providing application functions and services,
and corporate linkage providing information to find risk exposure based on
portfolio**

Original Titles:
Enterprise risk assessment manager system
ENTERPRISE RISK ASSESSMENT MANAGER SYSTEM
SYSTEME DE GESTION ET D'EVALUATION DE RISQUES D'ENTREPRISE
Local Applications (No Type Date): US 2003726023 A 20031202; WO
   2004US38114 A 20041115

Caryn S. Wesner-Early, MSLS   EIC 3600   03-May-07 2

Priority Applications (no., kind, date): US 2003726023 A 20031202

16/AN,AZ,TI/8   (Item 8 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0013706297
Customer's side automated **web services bidding method e.g. in** stock **quote service, involves accepting bid, if best bid** assessment **score has not improved since last iteration, else assembling further bid request to perform iteration**

Original Titles:
System and method for automated auctioning of web services
Local Applications (No Type Date): US 2002120631 A 20020411
Priority Applications (no., kind, date): US 2002120631 A 20020411

16/AN,AZ,TI/9   (Item 9 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0013295555
System for implementing employee stock plan has interface system for participant selection of enrollment periods, deductions, contributions and notification of options

Original Titles:
SYSTEM AND METHOD FOR IMPLEMENTING EMPLOYEE STOCK PLANS
System and method for implementing employee stock plans
SYSTEM UND VERFAHREN ZUR IMPLEMENTIERUNG VON ANGESTELLTEN-BESTANDSPLANEN
SYSTEM AND METHOD FOR IMPLEMENTING EMPLOYEE STOCK PLANS
SYSTEME ET PROCEDE PERMETTANT DE METTRE EN OEUVRE UN REGIME D'ACTIONNARIAT
DE SALARIES
SYSTEM AND METHOD FOR IMPLEMENTING EMPLOYEE STOCK PLANS
SYSTEME ET PROCEDE PERMETTANT DE METTRE EN OEUVRE UN REGIME D'ACTIONNARIAT
DE SALARIES
Local Applications (No Type Date): WO 2002US35255 A 20021031; EP
   2002789394 A 20021031; WO 2002US35255 A 20021031; AU 2002353990 A
   20021031; AU 2002353990 A 20021031
Priority Applications (no., kind, date): US 20013613 A 20011102

16/AN,AZ,TI/10   (Item 10 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0013247787
New 1-glyoxlylamideindolizines useful in the treatment of cancer

Original Titles:
1-GLYOXLYLAMIDE INDOLIZINES FOR TREATING CANCER
1-GLYOXLYLAMIDINDOLIZINE ZUR BEHANDLUNG VON KREBS
1-GLYOXLYLAMIDINDOLIZINE ZUR BEHANDLUNG VON KREBS
1-GLYOXLYLAMIDE INDOLIZINES FOR TREATING CANCER
INDOLIZINES DE 1-GLYOXLYLAMIDE PERMETTANT DE TRAITER LE CANCER
1-GLYOXLYLAMIDINDOLIZINE ZUR BEHANDLUNG VON KREBS
1-GLYOXLYLAMIDE INDOLIZINES FOR TREATING CANCER
INDOLIZINES DE 1-GLYOXLYLAMIDE PERMETTANT DE TRAITER LE CANCER

1-glyoxlyamid-indolizine zur Behandlung von Krebs
1-Glyoxylamide indolizines for treating cancer
1-Glyoxylamid-indolizine pour la traitement de cancer
1-Glyoxlylamide indolizines for treating cancer
Indolizine compounds
INDOLIZINE COMPOUNDS
1-glyoxylamide indolizines for treating cancer
1-glyoxylamide indolizines for treating cancer
1-GLYOXLYLAMIDE INDOLIZINES FOR TREATING CANCER
INDOLIZINES DE 1-GLYOXLYLAMIDE PERMETTANT DE TRAITER LE CANCER
Local Applications (No Type Date): WO 2002US29154 A 20020913; US
    2001322020 P 20010913; US 2002244088 A 20020913; US 2001322020 P
    20010913; US 2002244088 A 20020913; US 2002319401 A 20021212; US
    2003388332 A 20030313; US 2002319401 A 20021212; EP 2002798231 A
    20020913; WO 2002US29154 A 20020913; AU 2002333626 A 20020913; KR
    2004703754 A 20040312; US 2001322020 P 20010913; US 2002244088 A
    20020913; US 2004849978 A 20040520; BR 200212794 A 20020913; WO
    2002US29154 A 20020913; WO 2002US29154 A 20020913; NO 20041035 A
    20040311; WO 2002US29154 A 20020913; JP 2003526921 A 20020913; US
    2001322020 P 20010913; US 2002244088 A 20020913; EP 2002798231 A
    20020913; WO 2002US29154 A 20020913; DE 60205265 A 20020913; EP
    2002798231 A 20020913; WO 2002US29154 A 20020913; WO 2002US29154 A
    20020913; IN 2004DN664 A 20040316; ZA 20041996 A 20040311; WO
    2002US29154 A 20020913; MX 20042323 A 20040311; CN 2002820284 A
    20020913; EP 2002798231 A 20020913; WO 2002US29154 A 20020913; EP
    200516203 A 20050726; EP 2002798231 A 20020913; EP 200516203 A
    20020913; EP 2002798231 A 20020913; DE 60205265 A 20020913; EP
    2002798231 A 20020913; WO 2002US29154 A 20020913; NZ 531700 A
    20020913; WO 2002US29154 A 20020913; AU 2002333626 A 20020913
Priority Applications (no., kind, date): US 2001322020 P 20010913; US
    2002244088 A 20020913; US 2002319401 A 20021212; US 2003388332 A
    20030313; US 2004849978 A 20040520

  16/AN,AZ,TI/11   (Item 11 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012969305
**Financial investment portfolio optimization system transforms pre-tax
constraints and investment characteristics into set of after-tax
constraints and investment characteristics**

Original Titles:
Method of ascertaining an efficient frontier for tax-sensitive investors
Local Applications (No Type Date): US 2000253918 P 20001129; US
    2001995178 A 20011127
Priority Applications (no., kind, date): US 2000253918 P 20001129; US
    2001995178 A 20011127

  16/AN,AZ,TI/12   (Item 12 from file: 350)
DIALOG(R)File 350:(c) 2007 The Thomson Corporation. All rts. reserv.

0012923634
**Integrated fund management system for on-line superannuation accounting has
secure user access, web browser plug-in and relational database**
Local Applications (No Type Date): AU 200221288 A 20020306
Priority Applications (no., kind, date): AU 20013613 A 20010309

16/AN,AZ,TI/13   (Item 13 from file: 350)

0012865094
**Financial portfolio strategy converting apparatus converting into set of
tactics for fund managers has tactic generator engine labelling stock data
with tactic tag**

Original Titles:
Computerised apparatus and methods of converting a portfolio strategy into
a set of tactics
COMPUTERISED APPARATUS AND METHODS OF CONVERTING A PORTFOLIO STRATEGY INTO
A SET OF TACTICS
APPAREIL INFORMATISE ET PROCEDES POUR CONVERTIR UNE STRATEGIE DE
PORTEFEUILLE EN UN ENSEMBLES DE TACTIQUES
Local Applications (No Type Date): WO 2002GB1679 A 20020411; AU
   2002251267 A 20020411; WO 2002GB1679 A 20020411; US 2003474069 A
   20030930
Priority Applications (no., kind, date): GB 20019074 A 20010411

16/AN,AZ,TI/14   (Item 14 from file: 350)

0012738752
**Assessing method for ability of securities processing system to apply
straight through processing to securities transactions**

Original Titles:
VERFAHREN UND SYSTEM ZUM IDENTIFIZIEREN VON ENGPASSEN IN EINEM
SECURITIES-VERARBEITUNGSSYSTEM
A METHOD AND SYSTEM FOR IDENTIFYING BOTTLENECKS IN A SECURITIES PROCESSING
SYSTEM
PROCEDE ET SYSTEME D'IDENTIFICATION DE GOULOTS D'ETRANGLEMENT DANS UN
SYSTEME DE TRAITEMENT DE TITRES
Method and system for identifying bottlenecks in a securities processing
system
A METHOD AND SYSTEM FOR IDENTIFYING BOTTLENECKS IN A SECURITIES PROCESSING
SYSTEM
PROCEDE ET SYSTEME D'IDENTIFICATION DE GOULOTS D'ETRANGLEMENT DANS UN
SYSTEME DE TRAITEMENT DE TITRES
Local Applications (No Type Date): WO 2001US50794 A 20011024; EP
   2001273803 A 20011024; WO 2001US50794 A 20011024; US 2000696544 A
   20001025
Priority Applications (no., kind, date): US 2000696544 A 20001025

16/AN,AZ,TI/15   (Item 15 from file: 350)

0012666175
One stop consolidated view of financial service system, and method therefor
Local Applications (No Type Date): KR 200041728 A 20000720
Priority Applications (no., kind, date): KR 200041728   A 20000720

0012631210
Portfolio folder computer program contains computer executable code for creating folder

Original Titles:
 Computerized   **portfolio** and **assessment** system
A **COMPUTERIZED   PORTFOLIO** AND **ASSESSMENT** SYSTEM
PORTEFEUILLE INFORMATISE ET SYSTEME D'EVALUATION
Local Applications (No Type Date): WO 2001US44901 A 20011130; AU
   200219962 A 20011130; WO 2001US44901 A 20011130; US 2002130463 A
   20020517; WO 2001US44901 A 20011130; JP 2002546955 A 20011130; WO
   2001US44901 A 20011130; IN 2003KN822 A 20030624; WO 2001US44901 A
   20011130; MX 20034820 A 20030529
Priority Applications (no., kind, date): US 2002130463 A 20020517; US
   2000250342 P 20001130

0012506286
Investment portfolio management method for investors, involves repurchasing securities sold to harvest tax losses and selling fund shares purchased for replacement of sold securities after minimum delay period

Original Titles:
Method and apparatus for tax efficient investment management
Method and apparatus for tax efficient investment management
Local Applications (No Type Date): US 1999322412 A 19990528; US 200251893
   A 20020118; US 200251893 A 20020118
Priority Applications (no., kind, date): US 1999322412 A 19990528; US
   200251893   A 20020118

0010408744
 Computerized **investment optimizing method involves outputting optimal after tax investment strategy path that advises user of optical investments to be made**

Original Titles:
DURCH RECHNER GESTEUERTES SYSTEM UND VERFAHREN UM NACH DER STEUER GEWINNE
DIE AUS OPTIONEN STAMMEN ZU OPTIMIEREN
COMPUTERIZED SYSTEM AND METHOD FOR OPTIMIZING AFTER-TAX PROCEEDS INVOLVING
OPTIONS
SYSTEME INFORMATISE ET PROCEDE PERMETTANT D'OPTIMISER DES PRODUITS APRES
IMPOTS FAISANT APPEL AUX OPTIONS
Computerized system and method for optimizing after-tax proceeds.
Local Applications (No Type Date): WO 2000US4283 A 20000218; US
   1999253453 A 19990219; AU 200038591 A 20000218; KR 2001710584 A
   20010820; EP 2000917646 A 20000218; WO 2000US4283 .A 20000218; JP
   2000600190 A 20000218; WO 2000US4283 A 20000218; WO 2000US4283 A

20000218; MX 20018365 A 20010817
Priority Applications (no., kind, date): US 1999253453 A 19990219

? show files;ds
File 348:EUROPEAN PATENTS 1978-2007/ 200716
        (c) 2007 EUROPEAN PATENT OFFICE
File 349:PCT FULLTEXT 1979-2007/UB=20070426UT=20070319
        (c) 2007 WIPO/Thomson

```
Set     Items    Description
S1      220173   STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR
                 SHARE OR SHARES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VE-
                 HICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR
                 INVESTMENTS
S2       81846   TAX?? OR ASSESSMENT OR ASSESSMENTS OR TARIFF OR TARIFFS OR
                 TAXATION OR IRS OR (INTERNAL OR INLAND)()REVENUE
S3      1292607  ROLLOVER OR ROLLOVERS OR ROLL???(2W)(OVER OR OVERS) OR CON-
                 VERT??? OR CONVERSION OR CONVERSIONS OR TRANSFER OR TRANSFERS
                 OR TRANSFERR??? OR WASH()SALE OR WASHSALE OR MANIPULAT???
S4      808123   FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-
                 ???? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL
                 OR SYNTAX OR SYNTACTICAL)()ANALYS?S
S5      744479   AUTOMAT?? OR COMPUTERI? OR ELECTRONIC OR (PROGRAMMED OR DY-
                 NAMIC OR SMART OR INTELLIGENT)(2N)(AGENT OR AGENTS OR SERVO? -
                 OR BOT OR BOTS OR SYSTEM OR SYSTEMS) OR SOFTBOT OR SOFTBOTS OR
                 SOFT()(BOT OR BOTS) OR IA OR AI
S6         839   S1(10N)S2
S7       58934   S3(5N)S4
S8           4   S5(S)S6(S)S7
S9         127   S5(S)S6
S10     184885   IC=(G06F OR G06Q)
S11        109   S9 AND S10
S12         36   S5(10N)S6
S13         31   S10 AND S12
S14         32   S12(S)(S4 OR S5)
S15         28   S13(S)(S4 OR S5)
S16         31   S8 OR S15
S17         31   IDPAT (sorted in duplicate/non-duplicate order)
S18         31   IDPAT (primary/non-duplicate records only)
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DIALOG(R)File 348:EUROPEAN PATENTS
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01496347
A COMPUTERIZED   PORTFOLIO AND ASSESSMENT SYSTEM
PORTEFEUILLE INFORMATISE ET SYSTEME D'EVALUATION
PATENT ASSIGNEE:
  Nuventive, Llc, (4125630), Castle Town Square North, 4290 Route 8, Suite
    205, Allison Park, PA 15101, (US), (Applicant designated States: all)
INVENTOR:
  CHOBAN, Gary, M., 10343 Grubbs Road, Wexford, PA 15090, (US)
  CHOBAN, David, 649 Water View Drive, Cranberry Township, PA 16066, (US) .
PATENT (CC, No, Kind, Date):
                              WO 2002044855   020606
APPLICATION (CC, No, Date):   EP 2001998882 011130; WO 2001US44901 011130
PRIORITY (CC, No, Date): US 250342 P 001130
DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;
  LU; MC; NL; PT; SE; TR
EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI
INTERNATIONAL PATENT CLASS (V7): G06F-001/00   .
LANGUAGE (Publication,Procedural,Application): English; English; English

A COMPUTERIZED   PORTFOLIO AND ASSESSMENT SYSTEM

DIALOG(R)File 348:EUROPEAN PATENTS
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01290330
SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF
    THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS
SYSTEM UND VERFAHREN ZUR TRENNUNG VON UBERWEISUNGEN UND VERTEILUNG VON
    TEILEN EINER GELDSUMME AUF MEHRERE INVESTITIONSGUTER
SYSTEME ET PROCEDE PERMETTANT DE DIVISER UN VERSEMENT ET DE REPARTIR UNE
    PARTIE DES FONDS ENTRE PLUSIEURS PRODUITS D'INVESTISSEMENT
PATENT ASSIGNEE:
  American Express Company, (3303240), American Express Tower, World
    Financial Center, New YOrk City, NY 10285-4900, (US), (Proprietor
    designated states: all)
INVENTOR:.
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  SCHLEGEL, Bonnie, 543 84th Circle NW, Coon Rapids, MN 55333, (US)
  SHEIRE, Marcus, 1540 Osceola Avenue, St. Paul, MN 55105, (US)
  SWEAZY, Mark, D., 15201 Crown Drive, Minnetonka, Minnesota 55345, (US)
LEGAL REPRESENTATIVE:
  Hanna, Peter William Derek et al (72343), Hanna, Moore & Curley, 11
    Mespil Road,, Dublin 4, (IE)
PATENT (CC, No, Kind, Date): EP 1222596   A1   020717 (Basic)
                              EP 1222596   B1   031203
                              WO 2001027827   010419
APPLICATION (CC, No, Date):   EP 2000968416 000926; WO 2000US26440 000926
PRIORITY (CC, No, Date): US 415632 991012
DESIGNATED STATES: AT; BE; CH; CY; DE; DK; ES; FI; FR; GB; GR; IE; IT; LI;
  LU; MC; NL; PT; SE
EXTENDED DESIGNATED STATES: AL; LT; LV; MK; RO; SI
INTERNATIONAL PATENT CLASS (V7): G06F-017/60; G07F-007/10
ABSTRACT WORD COUNT: 7920

NOTE:
  No A-document published by EPO
LANGUAGE (Publication,Procedural,Application): English; English; English
FULLTEXT AVAILABILITY:
Available Text   Language   Update   Word Count
      CLAIMS B   (English)   200349   603
      CLAIMS B   (German)   200349   558
      CLAIMS B   (French)   200349   822
      SPEC B   (English)   200349   6855
Total word count - document A   0
Total word count - document B   8838
Total word count - documents A + B   8838
...SPECIFICATION 215), the system may incorporate any number of
  capabilities and functionalities which suitably enhance efficiencies **and**
  customer service. For example, the system may confirm **investment**
  product orders and trades, reconcile account balances, provide client
  statements, incorporate **tax** reporting summaries and suggestions,
  generate refund checks, reconcile money transfer amounts, process
  investment product withdrawals...

...transfer money and/or redeem mutual fund shares. Additionally, the
  system may provide manual or **automatic** services for managing client
  inquiries and requests and maintaining client data. Furthermore,
  cardholders may make...

  18/3,K/9   (Item 9 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2007 WIPO/Thomson. All rts. reserv.

01254631   **Image available**
ENTERPRISE RISK ASSESSMENT MANAGER SYSTEM
SYSTEME DE GESTION ET D'EVALUATION DE RISQUES D'ENTREPRISE
Patent Applicant/Assignee:
  DUN & BRADSTREET INC, 103 JFK Parkway, Short Hills, NJ 07078, US, US
    (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
  TANZILLO Ken, 374 Montreal Avenue, Staten Island, NY 10306, US, US
    (Residence), US (Nationality), (Designated only for: US)
  ALLAWAY David R, 853 Princeton Court, Neshanic Station, NJ 08853, US, US
    (Residence), US (Nationality), (Designated only for: US)
  SALLIEY DeVonne, 22 Draper Terrace, Montclair, NJ 07042, US, US
    (Residence), US (Nationality), (Designated only for: US)
  HOANG Thang Q, 103 College View Drive, Hackettstown, NJ 07840, US, US
    (Residence), US (Nationality), (Designated only for: US)
  FREEMAN Judith A, 24 Young Way, Bridgewater, NJ 08807, US, US (Residence)
    , US (Nationality), (Designated only for: US)
  MALENE Pamela, 3406 Canyon Creek, Mechanicsburg, PA 17055, US, US
    (Residence), US (Nationality), (Designated only for: US)
Legal Representative:
  GREELEY Paul D (agent), Ohlandt, Greeley, Ruggiero & Perle, LLP, One
    Landmark Square, 10th Floor, Stamford, CT 06901, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200560469 A2-A3 20050707 (WO 0560469)
  Application: WO 2004US38114 20041115 (PCT/WO US04038114)
  Priority Application: US 2003726023 20031202
Designated States:
(All protection types applied unless otherwise stated - for applications
2004+)
  AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM
  DZ EC EE EG ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC

LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NA NI NO NZ OM PG PH PL PT RO
RU SC SD SE SG SK SL SY TJ TM TN TR TT TZ UA UG US UZ VC VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LU MC NL PL PT
RO SE SI SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 4888

English Abstract
    A risk assessment manager is an intranet-based software system that
    provides enterprise-wide **automated** risk **assessment** and **portfolio**
    management to help companies reduce bad debt, decrease operational
    expenses, and increase cash flow. System...

  18/3,K/15   (Item 15 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT

01121245
FINANCIAL PLANNING CONSTRAINTS FOR PORTFOLIO ANALYSIS
CONTRAINTES DE PLANIFICATION FINANCIERES POUR ANALYSE DE PORTEFEUILLES
Patent Applicant/Assignee:
  MELLON INVESTORS LLC, Overpeck center, 85 Challenger Road, Ridgefield
    Park, NJ 07660, US, US (Residence), US (Nationality)
Inventor(s):
  HOLLANDER Brian, 35 Juniper Road, Bloomfield, CT 06002-2128, US,
  DOWNING Curtis Burton, -,
Legal Representative:
  DILMORE James G (agent), Reed Smith LLP, P.O. Box 488, Pittsburgh, PA

    15230-0488, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200442532 A2-A3 20040521 (WO 0442532)
  Application: WO 2003US35004 20031103 (PCT/WO US03035004)
  Priority Application: US 2002423229 20021101
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
  EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
  LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PG PH PL PT RO RU SC SD
  SE SG SK SL SY TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW
  (EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE
  SI SK TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) BW GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 5656

Fulltext Availability:
  Detailed Description

Detailed Description
  ... analysis tool would preferably incorporate these pieces of information
    while at the same time applying **automated** rules that allow for the

assessment and optimization of financial **portfolios** .

i
SUMMARY OF THE INVENTION
In accordance with the present invention, there are provided systems...

 18/3,K/18   (Item 18 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00910735   **Image available**
A COMPUTERIZED   PORTFOLIO **AND** ASSESSMENT **SYSTEM**
PORTEFEUILLE INFORMATISE ET SYSTEME D'EVALUATION
Patent Applicant/Assignee:
  NUVENTIVE LLC, castle Town Square North, 4290 Route 8, Suite 205, Allison
    Park, PA 15101, US, US (Residence), US (Nationality), (For all
    designated states except: US)
Patent Applicant/Inventor:
  CHOBAN Gary M, 10343 Grubbs Road, Wexford, PA 15090, US, US (Residence),
    US (Nationality), (Designated only for: US)
  CHOBAN David, 649 Water View Drive, Cranberry Township, PA 16066, US, US
    (Residence), US (Nationality), (Designated only for: US)
Legal Representative:
  JENKINS David C (agent), Eckert Seamans Cherin & Mellott, LLC, 600 Grant
    Street, 44th Floor, Pittsburgh, PA 15219, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200244855 A2-A3 20020606 (WO 0244855)
  Application: WO 2001US44901 20011130 (PCT/WO US0144901)
  Priority Application: US 2000250342 20001130
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
  EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS
  LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI SK
  SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZM ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
  (OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 15160

A COMPUTERIZED   PORTFOLIO **AND** ASSESSMENT **SYSTEM**
Fulltext Availability:
  Detailed Description
  Claims

Detailed Description
  A **COMPUTERIZED   PORTFOLIO** AND **ASSESSMENT** SYSTEM
  Cross Reference to Related Applicatio
  This application claims the benefit of U.S. Provisional...assessment
  process that includes the steps of providing a computer software program
  that manages the **assessment** process and providing at least one
  computerized   portfolio folder, wherein the program and the
  computerized portfolio are linked such that such program can selectively
  access and download items from the **computerized** portfolio folder into
  the computer program so that the computer program can manage the

Caryn S. Wesner-Early, MSLS   EIC 3600   03-May-07 4

assessment...items as measurement data in the assessment process. This
selective accessing and downloading provides an **automated** and
flexible method to allow **assessment** evaluators to sample items from the
computerized portfolios . The selective accessing and downloading is
accomplished, preferably, by using a rule-based engine.

For...

Claim
... said user.

4 An assessment process comprising:
providing a computer software program that manages said **assessment**
process; and
providing at least one **computerized portfolio** folder;
wherein said program and said **computerized** portfolio are linked such
that such program can selectively access and download items from said
computerized
29
portfolio folder into said computer program so that said computer program
can manage said...

18/3,K/19   (Item 19 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00816846   **Image available**
BUSINESS MANAGEMENT SYSTEM
SYSTEME DE GESTION D'AFFAIRES
Patent Applicant/Assignee:
  GENERAL ELECTRIC COMPANY, 1 River Road, Schenectady, NY 12345, US, US
    (Residence), US (Nationality), (For all designated states except: US)
Patent Applicant/Inventor:
  OMISORE Ade O, 240 Red Oak Lane, Bridgeport, CT 06606, US, US (Residence)
    , NG (Nationality)
  SO Joseph, Apartment 302, 8 Washington Court, Stamford, CT 06902, US, US
    (Residence), -- (Nationality)
  BUFFONE Richard, 41 Monroe Street, Pelham Manor, NY 10803, US, US
    (Residence), US (Nationality)
Legal Representative:
  PEREZ Daniel F (et al) (agent), Bickel & Brewer, 4800 Bank One Center,
    1717 Main Street, Dallas, TX 75201, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200150385 A2 20010712 (WO 0150385)
  Application: WO 2000US35383 20001221 (PCT/WO US0035383)
  Priority Application: US 99476754 19991230
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
  ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT
  LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM
  TR TT TZ UA UG US UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English

Fulltext Word Count: 15004

Fulltext Availability:
  Claims

Claim
... of investment.

  42 The method of claim 37 further comprising the step of performing an
    automated risk **assessment** on the **portfolio** .
  43 The method of claim 37 wherein the display format is a text report and
  ...

  18/3,K/24   (Item 24 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2007 WIPO/Thomson. All rts. reserv.

00761428
METHOD AND DATA PROCESS SYSTEM FOR ANALYSING AND TIMING BUY/SELL TIPS AS AN
    AID TO INVESTMENT DECISION MAKING ON A TRADEABLE ASSET OR SECURITY
PROCEDE ET SYSTEME DE TRAITEMENT DE DONNEES DESTINES A ANALYSER ET A
    DETERMINER UN CALENDRIER DE CONSEILS D'ACHAT/VENTE COMME AIDE A LA
    DECISION D'INVESTIR SUR UN ACTIF OU UN TITRE NEGOCIABLE
Patent Applicant/Assignee:
  JUPITER INTERNATIONAL (AUSTRALIA) PTY LTD, 14/51-55 City Road, South
    Melbourne, VIC 3205, AU, AU (Residence), AU (Nationality), (For all
    designated states except: US)
Patent Applicant/Inventor:
  BAKAYA Anil, 44 Henry Street, Doncaster, VIC 3108, AU, AU (Residence), AU
    (Nationality), (Designated only for: US)
  BAKAYA Dhiraj Dylan, 1011 Eaton Drive, McLean, VA 22102, US, US
    (Residence), AU (Nationality), (Designated only for: US)
Legal Representative:
  FREEHILLS CARTER SMITH & BEADLE, 101 Collins Street, Melbourne, VIC 3000,
    AU
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200073946 A1 20001207 (WO 0073946)
  Application: WO 2000AU551 20000525 (PCT/WO AU0000551)
  Priority Application: AU 99593 19990527
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES
  FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU
  LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR
  TT TZ UA UG US UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 33444

Fulltext Availability:
  Claims

Claim
... INDUSTRIAL STOCKS-M.CAP @o 200M MINING AND OIL-M CAP. > 200M
    STOCXNAME YIEW EF. **TAX** PRICE ALCAPINLIJ **STOCK** NAME YIELD EF. **IA**

MIRAGE RESORTS TRUST 8.02 0.00 2.80 252 QUEENSLAND COAL TRUST 15.10...

18/3,K/26   (Item 26 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2007 WIPO/Thomson. All rts. reserv.

00745526   **Image available**
PORTFOLIO INVESTMENT GUIDELINE COMPLIANCE AND FINANCIAL FUND ADMINISTRATION SYSTEM
SYSTEME DE GESTION DE FONDS FINANCIERS ET DE CONFORMITE AUX DIRECTIVES EN MATIERE D'INVESTISSEMENT DE PORTEFEUILLE
Patent Applicant/Assignee:
  THE CHASE MANHATTAN BANK, 140 E. 45th Street, New York, NY 10017, US, US
    (Residence), US (Nationality)
Inventor(s):
  O'SHEA Carmel, 48-50 44th Street, Woodside, NY 11377, US
  CASHMAN Dana, 25 Myrtle Street, Winchester, MA 01890, US
  PEZZULLO Dan, 97 East 7th Street #12, New York, NY 10009, US
  HEBERT Ken, 12 Summerset Drive, Smithtown, NY 11787, US
  GUERRIERO Charles, 2 Cori Lane, East Northport, NY 11731, US
  MANDEL Boris, 3-08 26th Street, Fair Lawn, NJ 07410, US
  DRYSDALE Vanessa, Apartment 38, 580 Salem Street, Wakefield, MA 01880, US
  DAMPHOUSSE Diane, Apartment 3, 140 K Street, South Boston, MA 02127, US
  LEVINE Howard, 2054 Holland Way, Merrick, NY 11566, US
  EKHTMAN Lev, 66 Bay 10 Street, Brooklyn, NY 11228, US
  PECK Will, 792 Westover Road, Stamford, CT 06902, US
  LEEPER Richard, 3205 216th Court SE, Issaquah, WA 98029, US
  MILLER William C, 107 Westminster Drive, Pearl River, NY 10965, US
  KUMAR Rajesh, 1 Gilbert Road, Chafford Hundred, Grays, Essex RM16 6NN, GB
Legal Representative:
  MURTHA James J, Orrick, Herrington & Sutcliffe LLP, 666 Fifth Avenue, New
    York, NY 10103, US
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200058900 A1 20001005 (WO 0058900)
  Application: WO 2000US8642 20000331 (PCT/WO US0008642)
  Priority Application: US 99127273 19990331; US 2000516377 20000301
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AL AU BA BB BG BR CA CN CU CZ EE GE HU ID IL IS JP KP KR LC LK LR LT LV
  MG MK MN MX NO NZ PL RO SG SI SK SL TR TT UA UZ VN YU
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 51390
Fulltext Availability:
  Detailed Description

Detailed Description
... reports of the financial instruments in the fund, as well as the
   characteristics of those **financial instruments** . For example, the
   System may be employed for **tax** reporting services. Offering tax
   services in an **automated** , rather than a manual environment, will
   improve the quality, reduce risk and improve the timeliness...

18/3,K/27   (Item 27 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00738056   **Image available**
AUTOMATIC ORDERING METHOD AND SYSTEM FOR TRADING OF STOCK, BOND, ITEM, FUTURE INDEX, OPTION, INDEX, CURRENT AND SO ON
PROCEDE AUTOMATIQUE DE COMMANDE ET SYSTEME D'OPERATIONS SUR ACTIONS, OBLIGATIONS, EFFETS DE PAIEMENT, CONTRATS A TERME, OPTIONS, INDICES, DEVISES ET AUTRES
Patent Applicant/Inventor:
  CHA Min Ho, 109-204 Daeah Apt., Kayang-dong, Kangseo-gu, Seoul 157-200, KR, KR (Residence), KR (Nationality)
Legal Representative:
  KIM Yeon Soo, Kim, Jung & Co., International Patent & Law Firm, 648-23, Yeoksam-dong, Kangnam-gu, Seoul 135-080, KR
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200051043 A1 20000831 (WO 0051043)
  Application: WO 2000KR125 20000216 (PCT/WO KR0000125)
  Priority Application: KR 996108 19990224; KR 9937343 19990903
Designated States:
(Protection type is "patent" unless otherwise stated - for applications prior to 2004)
  AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM EE ES FI GB
  GD GE GH GM HR HU ID IL IN IS JP KE KG KP KZ LC LK LR LS LT LU LV MA MD
  MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG
  US UZ VN YU ZA ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: Korean
Fulltext Word Count: 9017

Fulltext Availability:
  Detailed Description

Detailed Description
... quantity 602 in the automatic trade condition establishes a
standard selling and purchase at every **automatic** trade. Fee rates (and
tax) of **securities** company to be paid at the **share** trading are
entered into a column 604, which is not essential but conducive to
calculation of profits after the stock trading. Purchase price and
selling quantity of **automatic** trade are
established at columns 606, 608, 610 and 612. The purchase price may be
...

18/3,K/28   (Item 28 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2007 WIPO/Thomson. All rts. reserv.

00736183   **Image available**
COMPUTERIZED SYSTEM AND METHOD FOR OPTIMIZING AFTER-TAX PROCEEDS INVOLVING OPTIONS
SYSTEME INFORMATISE ET PROCEDE PERMETTANT D'OPTIMISER DES PRODUITS APRES IMPOTS FAISANT APPEL AUX OPTIONS
Patent Applicant/Assignee:
  DYNAMIC RESEARCH GROUP, Suite 201, 471 West 36th Avenue, Anchorage, AK

99503, US, US (Residence), US (Nationality)
Inventor(s):
  GOTTSTEIN David R, 2111 Atwood Drive, Anchorage, AK 99517, US,
Legal Representative:
  NATOLI Anthony J (agent), Brown Raysman Millstein Felder & Steiner, LLP,
    120 West Forty-Fifth Street, New York, NY 10036, US,
Patent and Priority Information (Country, Number, Date):
  Patent: WO 200049516 A1 20000824 (WO 0049516)
  Application: WO 2000US4283 20000218 (PCT/WO US0004283)
  Priority Application: US 99253453 19990219
Designated States:
(Protection type is "patent" unless otherwise stated - for applications
prior to 2004)
  AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES FI GB GD GE GH
  GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD MG MK MN
  MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ VN YU ZW
  (EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE
  (OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG
  (AP) GH GM KE LS MW SD SL SZ TZ UG ZW
  (EA) AM AZ BY KG KZ MD RU TJ TM
Publication Language: English
Filing Language: English
Fulltext Word Count: 26073

Fulltext Availability:
  Claims

Claim
... lot-by-lot basis from the plurality of investment strategies, wherein
  the outputted optimal after- **tax** investment strategy path advises a user
  of optimal **investments** to be made.

  2 The **computerized** method of claim 1, wherein the predetermined
  software program is a spreadsheet program.
  . The **computerized** method of claim 2, wherein the tax loss harvesting
  analysis, the comparative pro-forma tax...

18/AN,AZ,TI/1   (Item 1 from file: 348)

02059858
Systems and methods for secure transaction management and electronic rights
    protection
System und Verfahren fur sichere Transaktionsverwaltung und elektronischen
    Rechteschutz
Systemes et procedes de gestion de transactions securisees et de protection
    des droits electroniques
APPLICATION (CC, No, Date):   EP 2006075503 960213;
PRIORITY (CC, No, Date): US 388107 950213

18/AN,AZ,TI/2   (Item 2 from file: 348)

01496347
A COMPUTERIZED   PORTFOLIO AND ASSESSMENT SYSTEM
PORTEFEUILLE INFORMATISE ET SYSTEME D'EVALUATION
APPLICATION (CC, No, Date):   EP 2001998882 011130; WO 2001US44901   011130
PRIORITY (CC, No, Date): US 250342 P 001130

18/AN,AZ,TI/3   (Item 3 from file: 348)

01310699
Method and system for tracking screen activities in online transactions
Verfahren   und   System   zum   Verfolgen von Bildschirmaktivitaten bei
    Online-Transaktionen
Methode et systeme pour suivre les activites sur un ecran lors des
    transactions en ligne
APPLICATION (CC, No, Date):   EP 2001300722 010126;
PRIORITY (CC, No, Date): US 492559 000127

18/AN,AZ,TI/4   (Item 4 from file: 348)

01290330
SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF
    THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS
SYSTEM UND VERFAHREN ZUR TRENNUNG VON UBERWEISUNGEN UND VERTEILUNG VON
    TEILEN EINER GELDSUMME AUF MEHRERE INVESTITIONSGUTER
SYSTEME ET PROCEDE PERMETTANT DE DIVISER UN VERSEMENT ET DE REPARTIR UNE
    PARTIE DES FONDS ENTRE PLUSIEURS PRODUITS D'INVESTISSEMENT
APPLICATION (CC, No, Date):   EP 2000968416 000926; WO 2000US26440   000926

PRIORITY (CC, No, Date): US 415632 991012

18/AN,AZ,TI/5   (Item 5 from file: 348)

01189553
METHOD AND APPARATUS FOR PROCESSING BUSINESS INFORMATION FROM MULTIPLE
    ENTERPRISES
VERFAHREN UND APPARAT ZUM VERARBEITEN VON GESCHAFTSINFORMATIONEN AUS
    MEHREREN UNTERNEHMEN

PROCEDE ET DISPOSITIF POUR TRAITER LES INFORMATIONS COMMERCIALES DE
    PLUSIEURS ENTREPRISES
APPLICATION (CC, No, Date):   EP 2000901410 000113;   WO 2000US728   000113
PRIORITY (CC, No, Date): US 231819 990115

   18/AN,AZ,TI/6   (Item 6 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01392494
SYSTEM AND METHOD FOR AN AUTOMATED PROJECT OFFICE AND AUTOMATIC RISK
    ASSESSMENT AND REPORTING
SYSTEME ET PROCEDE POUR BUREAU DE PROJET AUTOMATIQUE, EVALUATION ET
    NOTIFICATION AUTOMATIQUE DE RISQUE
  Application: WO 2005US47212 20051230 (PCT/WO US2005047212)

   18/AN,AZ,TI/7   (Item 7 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01344631
ARCHITECTURE FOR ENTERPRISE DATA INTEGRATION SYSTEMS
ARCHITECTURE POUR DES SYSTEMES D'INTEGRATION DE DONNEES D'ENTREPRISE
  Application: WO 2005US30993 20050831 (PCT/WO US2005030993)

   18/AN,AZ,TI/8   (Item 8 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01307253
METHOD AND SYSTEM FOR PROCESSING TAX PERTAINING TO A GOODS AND SERVICES
    TRANSACTION
PROCEDE ET SYSTEME DE TRAITEMENT DE TAXES ASSOCIEES A UNE TRANSACTION DE
    BIENS ET DE SERVICES
  Application: WO 2005US17759 20050519 (PCT/WO US2005017759)

   18/AN,AZ,TI/9   (Item 9 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01254631
ENTERPRISE RISK ASSESSMENT MANAGER SYSTEM
SYSTEME DE GESTION ET D'EVALUATION DE RISQUES D'ENTREPRISE
  Application: WO 2004US38114 20041115 (PCT/WO US04038114)

   18/AN,AZ,TI/10   (Item 10 from file: 349)
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01245519
COMPUTER CONTROL SYSTEM FOR NON-DEBT HOME FINANCING
SYSTEME DE CONTROLE INFORMATIQUE POUR LE FINANCEMENT DOMESTIQUE NON
    CREATEUR D'ENDETTEMENT
  Application: WO 2004US39041 20041119 (PCT/WO US04039041)

   18/AN,AZ,TI/11   (Item 11 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01243408

SYSTEM, METHOD, AND COMPUTER PROGRAM PRODUCT FOR TRANSACTING BUSINESS
SYSTEME, PROCEDE ET PRODUIT PROGRAMME INFORMATIQUE PERMETTANT DE REALISER
    DES OPERATIONS
    Application: WO 2004CH668 20041104   (PCT/WO CH04000668)

  18/AN,AZ,TI/12   (Item 12 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01203600
TERM-BASED CONCEPT MARKET
SYSTEME DE COMMERCIALISATION REPOSANT SUR UN CONCEPT FONDE SUR DES TERMES
    Application: WO 2004US23485 20040721   (PCT/WO US04023485)

  18/AN,AZ,TI/13   (Item 13 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

01203599
CONCEPT VALUATION IN A TERM-BASED CONCEPT MARKET
EVALUATION DE CONCEPT DANS UN MARCHE DE CONCEPT FONDE SUR DES TERMES
    Application: WO 2004US23484 20040721   (PCT/WO US2004023484)

  18/AN,AZ,TI/14   (Item 14 from file: 349)
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01203586
TERM-BASED CONCEPT INSTRUMENTS
INSTRUMENTS POUR CONCEPTS BASES SUR DES TERMES
    Application: WO 2004US23446 20040721   (PCT/WO US04023446)

  18/AN,AZ,TI/15   (Item 15 from file: 349)
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01121245
FINANCIAL PLANNING CONSTRAINTS FOR PORTFOLIO ANALYSIS
CONTRAINTES DE PLANIFICATION FINANCIERES POUR ANALYSE DE PORTEFEUILLES
    Application: WO 2003US35004 20031103   (PCT/WO US03035004)

  18/AN,AZ,TI/16   (Item 16 from file: 349)
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01120428
COPYRIGHT NOTICE
SYSTEME DE TRAITEMENT D'INFORMATIONS POUR DETERMINER DES INFORMATIONS
    FISCALES
    Application: WO 2003US34352 20031028   (PCT/WO US03034352)

  18/AN,AZ,TI/17   (Item 17 from file: 349)
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01008584
SYSTEM AND METHOD FOR IMPLEMENTING EMPLOYEE STOCK PLANS
SYSTEME ET PROCEDE PERMETTANT DE METTRE EN OEUVRE UN REGIME D'ACTIONNARIAT
    DE SALARIES
    Application: WO 2002US35255 20021031   (PCT/WO US0235255)

Caryn S. Wesner-Early, MSLS   EIC 3600   03-May-07 3

18/AN,AZ,TI/18   (Item 18 from file: 349)
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00910735
A COMPUTERIZED PORTFOLIO **AND** ASSESSMENT **SYSTEM**
PORTEFEUILLE INFORMATISE ET SYSTEME D'EVALUATION
    Application: WO 2001US44901 20011130   (PCT/WO US0144901)

18/AN,AZ,TI/19   (Item 19 from file: 349)
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00816846
BUSINESS MANAGEMENT SYSTEM
SYSTEME DE GESTION D'AFFAIRES
    Application: WO 2000US35383 20001221   (PCT/WO US0035383)

18/AN,AZ,TI/20   (Item 20 from file: 349)
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00814145
A METHOD FOR EXECUTING A NETWORK-BASED CREDIT APPLICATION PROCESS
PROCEDE DE MISE EN OEUVRE D'UN PROCESSUS DE DEMANDE DE CREDIT EN RESEAU
    Application: WO 2000US35216 20001222   (PCT/WO US0035216)

18/AN,AZ,TI/21   (Item 21 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00806392
TECHNOLOGY SHARING DURING ASSET MANAGEMENT AND ASSET TRACKING IN A
    NETWORK-BASED SUPPLY CHAIN ENVIRONMENT AND METHOD THEREOF
PARTAGE TECHNOLOGIQUE LORS DE LA GESTION ET DU SUIVI DU PARC INFORMATIQUE
    DANS UN ENVIRONNEMENT DU TYPE CHAINE D'APPROVISIONNEMENT RESEAUTEE, ET
    PROCEDE ASSOCIE
    Application: WO 2000US32310 20001122   (PCT/WO US0032310)

18/AN,AZ,TI/22   (Item 22 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00806384
NETWORK AND LIFE CYCLE ASSET MANAGEMENT IN AN E-COMMERCE ENVIRONMENT AND
    METHOD THEREOF
GESTION D'ACTIFS DURANT LE CYCLE DE VIE ET EN RESEAU DANS UN ENVIRONNEMENT
    DE COMMERCE ELECTRONIQUE ET PROCEDE ASSOCIE
    Application: WO 2000US32324 20001122   (PCT/WO US0032324)

18/AN,AZ,TI/23   (Item 23 from file: 349)
DIALOG(R)File 349:(c) 2.007 WIPO/Thomson. All rts. reserv.

00806383
COLLABORATIVE CAPACITY PLANNING AND REVERSE INVENTORY MANAGEMENT DURING
    DEMAND AND SUPPLY PLANNING IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT
    AND METHOD THEREOF
PLANIFICATION EN COLLABORATION DES CAPACITES ET GESTION ANTICIPEE DES

STOCKS LORS DE LA PLANIFICATION DE L'OFFRE ET DE LA DEMANDE DANS UN
ENVIRONNEMENT DE CHAINE D'APPROVISIONNEMENT FONDEE SUR LE RESEAU ET
PROCEDE ASSOCIE
Application: WO 2000US32309 20001122   (PCT/WO US0032309)

18/AN,AZ,TI/24   (Item 24 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00761428
METHOD AND DATA PROCESS SYSTEM FOR ANALYSING AND TIMING BUY/SELL TIPS AS AN
     AID TO INVESTMENT DECISION MAKING ON A TRADEABLE ASSET OR SECURITY
PROCEDE ET SYSTEME DE TRAITEMENT DE DONNEES DESTINES A ANALYSER ET A
     DETERMINER UN CALENDRIER DE CONSEILS D'ACHAT/VENTE COMME AIDE A LA
     DECISION D'INVESTIR SUR UN ACTIF OU UN TITRE NEGOCIABLE
     Application: WO 2000AU551 20000525   (PCT/WO AU0000551)

18/AN,AZ,TI/25   (Item 25 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00755450
METHOD OF SOCIAL NETWORK GENERATION FOR CUSTOMER RELATIONSHIPS
PROCEDE POUR GENERER UN RESEAU SOCIAL
     Application: WO 2000US13132 20000512   (PCT/WO US0013132)

18/AN,AZ,TI/26   (Item 26 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00745526
PORTFOLIO INVESTMENT GUIDELINE COMPLIANCE AND FINANCIAL FUND ADMINISTRATION
     SYSTEM
SYSTEME DE GESTION DE FONDS FINANCIERS ET DE CONFORMITE AUX DIRECTIVES EN
     MATIERE D'INVESTISSEMENT DE PORTEFEUILLE
     Application: WO 2000US8642 20000331   (PCT/WO US0008642)

18/AN,AZ,TI/27   (Item 27 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00738056
AUTOMATIC ORDERING METHOD AND SYSTEM FOR TRADING OF STOCK, BOND, ITEM,
     FUTURE INDEX, OPTION, INDEX, CURRENT AND SO ON
PROCEDE AUTOMATIQUE DE COMMANDE ET SYSTEME D'OPERATIONS SUR ACTIONS,
     OBLIGATIONS, EFFETS DE PAIEMENT, CONTRATS A TERME, OPTIONS, INDICES,
     DEVISES ET AUTRES
     Application: WO 2000KR125 20000216   (PCT/WO KR0000125)

18/AN,AZ,TI/28   (Item 28 from file: 349)
DIALOG(R)File 349:(c) 2007 WIPO/Thomson. All rts. reserv.

00736183
COMPUTERIZED SYSTEM AND METHOD FOR OPTIMIZING AFTER-TAX PROCEEDS INVOLVING
     OPTIONS
SYSTEME INFORMATISE ET PROCEDE PERMETTANT D'OPTIMISER DES PRODUITS APRES
     IMPOTS FAISANT APPEL AUX OPTIONS
     Application: WO 2000US4283 20000218   (PCT/WO US0004283)

18/AN,AZ,TI/29   (Item 29 from file: 349)

00579180
METHOD AND APPARATUS FOR PROCESSING BUSINESS INFORMATION FROM MULTIPLE ENTERPRISES
PROCEDE ET DISPOSITIF POUR TRAITER LES INFORMATIONS COMMERCIALES DE PLUSIEURS ENTREPRISES
Application: WO 2000US728 20000113 (PCT/WO US0000728)

18/AN·AZ,TI/30   (Item 30 from·file: 349)

00555951
TRUSTED COMPARTMENTALIZED COMPUTER OPERATING SYSTEM
SYSTEME D'EXPLOITATION D'ORDINATEUR A PARTITIONNEMENT DE SECURITE
Application: WO 99US22331 19990928 (PCT/WO US9922331)

18/AN,AZ,TI/31   (Item 31 from file: 349)

00395510
INTEGRATED FINANCIAL INVESTMENT SERVICES INFORMATION SYSTEM
SYSTEME INTEGRE POUR LE TRAITEMENT D'INFORMATIONS SUR DES SERVICES D'INVESTISSEMENTS FINANCIERS
Application: WO 97US4474 19970320 (PCT/WO US9704474)

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? show files;ds
File    2:INSPEC 1898-2007/Apr W4
         (c) 2007 Institution of Electrical Engineers
File   35:Dissertation Abs Online 1861-2007/Apr
         (c) 2007 ProQuest Info&Learning
File   65:Inside Conferences 1993-2007/May 03
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File   99:Wilson Appl. Sci & Tech Abs 1983-2007/Mar
         (c) 2007 The HW Wilson Co.
File  474:New York Times Abs 1969-2007/May 03
         (c) 2007 The New York Times
File  475:Wall Street Journal Abs 1973-2007/May 03
         (c) 2007 The New York Times
File  583:Gale Group Globalbase(TM) 1986-2002/Dec 13
         (c) 2002 The Gale Group
File  256:TecInfoSource 82-2007/Apr
         (c) 2007 Info.Sources Inc
File  139:EconLit 1969-2007/Apr
         (c) 2007 American Economic Association


Set     Items    Description
S1      920600    STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR
                  SHARE OR SHARES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VE-
                  HICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR
                  INVESTMENTS
S2      348816    TAX?? OR TARIFF OR TARIFFS OR TAXATION OR IRS OR (INTERNAL
                  OR INLAND)()REVENUE
S3     1197040    ROLLOVER OR ROLLOVERS OR ROLL???(2W)(OVER OR OVERS) OR CON-
                  VERT??? OR CONVERSION OR CONVERSIONS OR TRANSFER OR TRANSFERS
                  OR TRANSFERR??? OR WASH()SALE OR WASHSALE OR MANIPULAT???
S4      895924    FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-
                  ???? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL
                  OR SYNTAX OR SYNTACTICAL)()ANALYS?S
S5     1384220    AUTOMAT?? OR COMPUTERI? OR ELECTRONIC OR (PROGRAMMED OR DY-
                  NAMIC OR SMART OR INTELLIGENT)(2N)(AGENT OR AGENTS OR SERVO? -
                  OR BOT OR BOTS OR SYSTEM OR SYSTEMS) OR SOFTBOT OR SOFTBOTS OR
                  SOFT()(BOT OR BOTS) OR IA OR AI
S6       15830    S1(10N)S2
S7       13919    S3(5N)S4
S8           0    S5(S)S6(S)S7
S9         122    S5(S)S6
S10         14    S9(S)(S3 OR S4)
S11         24    S5(10N)S6
S12         36    S10 OR S11
S13         34    S12 NOT PY>2001
S14         33    S13 NOT PD=20010630:20070630
S15         32    RD   (unique items)
```

15/3,K/1   (Item 1 from file: 2)
DIALOG(R)File   2:INSPEC

05519548
Title: Product review: Tax Management Portfolios Plus
  Author(s): Zuckerman, L.K.
  Journal: Accounting Technology   vol.9, no.6   p.43-4
  Publication Date: Aug. 1993 Country of Publication: USA
  ISSN: 0883-1866
  Language: English
  Subfile: D

    Abstract: Tax Management has released its complete, unabridged US Income
Portfolio Series; Estates, Gift & Trusts **Portfolio** Series; and Foreign
Income **Portfolio** Series on one compact disc- **Tax** Management **Portfolios**
 Plus (TMCD). TMCD offers all the advantages of **electronic tax** research
and CD-ROM technology. For starters, you save a lot of expensive office
space...

15/3,K/2   (Item 2 from file: 2)
DIALOG(R)File   2:INSPEC

03399187   INSPEC Abstract Number: D85000555
 Title: Examining financial planning software
  Author(s): Cole, R.S.; Ross, G.
  Journal: Best's Review - Life/Health Insurance Edition   vol.85, no.7
p.74-8
  Publication Date: Nov. 1984 Country of Publication: USA
  CODEN: BRLHB5 ISSN: 0005-9706
  Language: English
  Subfile: D

   ...Abstract: service rendered must be 'personal', yet, from a practical
standpoint, it requires the collection, analysis, **manipulation** , storage
and retrieval of an ever-growing amount of client information. Today's
financial planner has many computer systems to choose from: calculation
based on a personal computer; **electronic** spreadsheet packages; integrated
systems where client data **ia** entered once, stored on a database and is
used by all the calculations and multi...

   ...and easier to use but must be constantly updated to keep up with changes
in **tax** laws and **investment**   **vehicles** . In choosing your system,
research and planning time should be spent to understand how your...

15/3,K/6   (Item 2 from file: 474)
DIALOG(R)File 474:New York Times Abs

05049646   NYT Sequence Number: 108748870716
A.D.R.'S IN LONDON
Reuters
New York Times, Col. 3, Pg. 15, Sec. 4
Thursday July 16 1987

ABSTRACT:
    Official trading in American depository receipts will begin in London

August 3; London **Stock** Exchange has cleared the new market with British
tax authorities, and its **automated** quotation system will ·be used (S)

 15/3,K/14   (Item 10 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2007 The New York Times. All rts. reserv.

00699531   NYT Sequence Number: 060367760207
(Ed remarks that 'self-defeating' stock   transfer   tax imposed on
  brokerage houses by NY in '75 is causing shift of Wall St firms to NJ.
  Holds NYC is still moving in in reverse in its own job-creation drive,
  despite high priority assigned to endeavor by both Mayor Beame and Gov
  Carey. Observes that city, which once ranked with Milwaukee as
  fountainhead of Amer beer indus, is about to lose last of its breweries.
  Notes that high cost of utilities and local taxes is causing both
  Rheingold and Schaefer, survivors of indus that once included 70 brewers,
  to abandon their Bklyn plants. Asserts when elec power costs nearly 3
  times as much on this side of Hudson as it does on other and water twice
  as much, is it clear that Beame's admirable plan to attract new indus to
  city has to surmount some extreme handicaps. Argues that incentives more
  substantial thatn property-tax relief and reduced land rentals city now
  plans to offer are needed. Declares that New Yorkers will also have to
  make up their own minds about how seriously they want new enterprises.
  Observes that every fresh project brings almost automatic protest from
  community groups. Notes projected $40-million amusement pk on vacant
  land now zoned for indus in Staten Island has elicited such vehement
  neighborhood opposition that sponsors are considering transferring it
  to Meadowlands in NJ. Holds with pk would go estimated $2-million a yr in
  new city taxes, thousands of construction jobs for idle bldg trades
  workers and upwards of
New York Times, Col. 1, Pg. 20
Saturday February 7 1976

(Ed remarks that 'self-defeating' stock   transfer   tax imposed on
  brokerage houses by NY in '75 is causing shift of Wall St firms...

...minds about how seriously they want new enterprises. Observes that every
  fresh project brings almost automatic protest from community groups.
  Notes ...for indus in Staten Island has elicited such vehement
  neighborhood opposition that sponsors are considering transferring it
  to Meadowlands in NJ. Holds with pk would go estimated $2-million a yr...

 15/3,K/15   (Item 11 from file: 474)
DIALOG(R)File 474:New York Times Abs
(c) 2007 The New York Times. All rts. reserv.

00681144   NYT Sequence Number: ·041980760505
(Ed on recommendations of newly formed Council on the NY Economy for tax
  relief to improve business climate in NYC and NYS. Lauds proposal to
  repeal NYC estate tax, which it calls counterproductive, but says it is
  not clear that NYC stock   transfer   tax is main reason some brokerage
  houses are moving to New Jersey. Holds stock   transfer   tax is
  negligible factor in investment decisions of small investors, while large
  block traders have their own incentives for shopping around among
  regional exchs. Says NYSE and ASE are entrenched as primary marketplaces,
  and although primacy may be threatened by natl electronic mkt system,
  this will not occur in next few yrs, with or without stock   transfer

tax . **Urges Council to make its own analysis of** stock transfer tax.
)
New York Times, Col. 2, Pg. 40
Wednesday May 5 1976

...NYC estate tax, which it calls counterproductive, but says it is not
   clear that NYC stock transfer tax **is main reason some brokerage**
   houses are moving to New Jersey. Holds stock transfer tax **is**
   negligible factor in investment decisions of small investors, while large
   block traders have their...
...**and ASE are entrenched as primary marketplaces, and although primacy may**
   be threatened by natl electronic mkt system, **this will not occur in**
   next few yrs, with or without stock transfer tax . **Urges Council to**
   make its own analysis of stock transfer tax.)

   15/3,K/16   (Item 1 from file: 475)
DIALOG(R)File 475:Wall Street Journal Abs

05510271
SETTLEMENT IN TAX-SHELTER SCAM IS NEAR
Wall Street Journal, Col. 1, Pg. 15, Sec. 2
Tuesday September 19 1989

ABSTRACT:
     Legal Beat column notes Federal court is expected to approve settlement
in nation's largest **tax** -shelter scam involving **investments** in Energy
Control System, **electronic** switch; other legal news (S)

   15/3,K/21   (Item 3 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)

09098071
Rise and rise of the national stealth service
   UK: SHARE TRANSFERS TO COST MORE
Daily Telegraph (DT)   01 May 1999 Business p. 3
Language: ENGLISH

... Parliament, the Finance Bill contains a little-publicised change
affecting the Stamp Duty on share **transfers** . As from October 1999, the
fixed duty on **transfer** of shares will rise from GBt 0.50 per **transfer**
to GBt 5. Those who wish to **transfer** shareholdings into **electronic**
nominee services, or to **transfer** stock between husband and wife, will be
affected by the change in duty. The Inland Revenue wants to equalise the
tax treatment of paper-based and **electronic** **share** dealing and expects
the change to affect about 1mn **transfers** a year. Brokers say they absorb
the current GBt 0.50 duty but may have...

   15/3,K/24   (Item 6 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)

06616868
Capital gains 'too complex' for software
   UK: SHARE TRADING TAX BECOMES MORE COMPLICATED
Financial Times (FT)   21 April 1998 p.9

Language: ENGLISH

... meeting of tax experts from the top investment banks concluded that the calculation of UK **tax** rules for **share** trading, as proposed in the latest finance bill, cannot be **automated** via computer software because they are too complicated. Working out such tax liabilities is vital...

`15/3,K/25`   (Item 7 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
(c) 2002 The Gale Group. All rts. reserv.

06548713
 BRAZIL : CHANGES IN THE STOCK STRATEGY
 BRAZIL : CHANGES IN THE STOCK STRATEGY
Gazeta Mercantil (GMI)   18 Nov 1997 p. A-8
Language: PORTUGUESE

... couple of weeks are signals that some industries are running with an excessive level of .**stocks** . After the **Tax** Adjustment done by the Brazilian Government, mainly the automotive and **electronic** industry which had been increasing the production even with no demand growth expectations, need to...

... large number of defaults, the retailers decided to work with a just in time strategy **transferring** the stocks for the industry. Analysts believe that consumers are more careful mainly on purchasing goods with higher values due the interest rate level and tax adjustment and will **transfer** their purchasing power to smaller products as clothes and footwear, for example. *

`15/3,K/26`   (Item 8 from file: 583)
DIALOG(R)File 583:Gale Group Globalbase(TM)
(c) 2002 The Gale Group. All rts. reserv.

06321748
SIB urges key change to trading system
 UK: SIB AND STOCK EXCHANGE DIFFER ON TAX RELIEF
Independent (TI)   08 Jun 1996 p. 20
Language: ENGLISH

City regulator, the **Securities** and Investment Board(SIB) and the **Stock** Exchange disagree about **tax** relief for traders on the new **electronic** trading system. To qualify for tax relief, firms have to show they are helping overall market liquidity. The SIB is advocating that traders stay linked to the **electronic** order book which would provide the justification for **tax** relief but the **Stock** Exchange wants to let traders deal bilaterally on the telephone, so-called off-market trading...

`15/3,K/32`   (Item 2 from file: 139)
DIALOG(R)File 139:EconLit
(c) 2007 American Economic Association. All rts. reserv.

708742
TITLE: A Quantitative Assessment of Electronic Commerce.
 AUTHOR(S): Schuknecht, L.; Perez-Esteve, R.
 AUTHOR(S) AFFILIATION: Unlisted; Unlisted
 PUBLICATION INFORMATION: Stanford - Institute for Thoretical Economics,

Stanford - Institute for Thoretical Economics PAGES: 33 pages
PUBLICATION DATE: 1999
DOCUMENT TYPE: Working Paper
ABSTRACT INDICATOR: Abstract

ABSTRACT: This paper tries to assess quantitatively the role of
electronic commerce in economic activity and in trade and tariff
revenue collection. The share of value added that potentially lends
itself to electronic trade represents around 30 percent of GDP, most
importantly distribution, finance and business services. Electronic...

15/6/1   (Item 1 from file: 2)
05519548
 Title: Product review: Tax Management Portfolios Plus
  Publication Date: Aug. 1993

 15/6/2   (Item 2 from file: 2)
03399187   INSPEC Abstract Number: D85000555
 Title: Examining financial planning software
  Publication Date: Nov. 1984

 15/6/3   (Item 3 from file: 2)
02800685   INSPEC Abstract Number: C82008253
  Title: Automation of Czechoslovak statewide system of scientific and
technical information
  Publication Date: June 1981

 15/6/4   (Item 1 from file: 35)
942837   ORDER NO: AAD87-03510
A PHYLOGENETIC ANALYSIS OF THE RHODACAROIDEA (ACARI: MESOSTIGMATA)
(SYSTEMATICS)
  Year: 1986

 15/6/5   (Item 1 from file: 474)
06761776   NYT Sequence Number: 078123941123
H & R BLOCK STOCK PLUNGES ON ELECTRONIC FILING CHANGE
Wednesday November 23 1994

 15/6/6   (Item 2 from file: 474)
05049646   NYT Sequence Number: 108748870716
A.D.R.'S IN LONDON
Thursday July 16 1987

 15/6/7   (Item 3 from file: 474)
05041047   NYT Sequence Number: 013832870611
CRIME PANEL BACKS BROAD EXPANSION OF COMPUTER FILE
Thursday June 11 1987

 15/6/8   (Item 4 from file: 474)
05022840   NYT Sequence Number: 077346870401
MICRO-VICTORY IN THE CHIP WAR
Wednesday April 1 1987

 15/6/9   (Item 5 from file: 474)
05015965   NYT Sequence Number: 006978870305
WITNESS IN TUROFF TRIAL RECALLS TAXI METER DEAL
Thursday March 5 1987

 15/6/10   (Item 6 from file: 474)
05013487   NYT Sequence Number: 246432870225
WITNESS SAYS HE AGREED TO 'PAY OFF' TUROFF IN TAXI METER SCHEME
Wednesday February 25 1987

15/6/11   (Item 7 from file: 474)
01091525   NYT Sequence Number: 040669810712
(Thoroughbred horse breeding industry is growing to boom proportions in US,
   which has become center for international breeders. Attracts major
   investors including financier Eric Kronfeld, Japanese millionaires Kazuo
   Nakamura and Yorozu Sugawara, Venezuelan oil broker Jose Sahagun, Greek
   shipping magnate Stavros Niarchos and British football pools operator
   Robert Sangster. Is entering realm of corporate finance through
   syndication, or sale of shares in stud stallion, with 8,000 studs
   servicing 65,000 mares during '81 breeding season. Share price is
   rising sharply as investors perceive horses as tax shelter with
   generous depreciation allowance. Increasing use of computerized
   breeding selection under leadership of Richard Broadbent's Bloodstock
   Research & Statistical Bureau is discussed. Photos (L).)
Sunday July 12 1981

15/6/12   (Item 8 from file: 474)
01088155   NYT Sequence Number: 037299810506
(Migration of stock brokerage firms from Wall Street has raised number of
   brokers in Jersey City (NJ) to 23, up from three in '75. Chief attraction
   is absence of stock   transfer   tax in NJ, combined with relatively
   moderate business, sales and personal income taxes, and substantially
   lower office rents. Move is made practical by use of electronic
   Intermarket Trading System for stock transactions. Apparent success of0
   new National Securities Trading System, built in Jersey City by Weedon &
   Co, could make Wall Street even less important as stock trading center.
   Map of Jersey City and lower Manhattan. Photo (S).)
Wednesday May 6 1981

15/6/13   (Item 9 from file: 474)
00792905   NYT Sequence Number: 063683770103
(Paine, Webber, Jackson & Curtis brokerage firm confronted with advantages
   and disadvantages of maintaining corporate headqrs in NYC. Advantages
   include: continuing preponderance of NYC stock exchs in trading with best
   prices and quickness of stock turnover. Better exch of information on new
   trends and testing new ideas in more frequent meetings between
   stockbroker colleagues. Availability of highly skilled personnel to man
   new computerized operations, which is harder to find in suburbs.
   Disadvantages include: customers' insistence that stock be traded
   outside of NYC to avoid stock   transfer   tax . Availability of instant
   information nationwide and no longer just in NYC. Customer tendency to
   prefer face-to-face contact with local broker instead of by telephone to
   NYC brokers. James W Davant, chmn and chief executive of holding co Paine
   Webber Inc, and executives Donald E Brown and Robert C Dunwoody comment
   (M).)
Monday January 3 1977

15/6/14   (Item 10 from file: 474)
00699531   NYT Sequence Number: 060367760207
(Ed remarks that 'self-defeating' stock   transfer   tax imposed on
   brokerage houses by NY in '75 is causing shift of Wall St firms to NJ.
   Holds NYC is still moving in in reverse in its own job-creation drive,
   despite high priority assigned to endeavor by both Mayor Beame and Gov
   Carey. Observes that city, which once ranked with Milwaukee as

fountainhead of Amer beer indus, is about to lose last of its breweries.
1Notes that high cost of utilities and local taxes is causing both
Rheingold and Schaefer, survivors of indus that once included 70 brewers,
to abandon their Bklyn plants. Asserts when elec power costs nearly 3
times as much on this side of Hudson as it does on other and water twice
as much, is it clear that Beame's admirable plan to attract new indus to
city has to surmount some extreme handicaps. Argues that incentives more
substantial thatn property-tax relief and reduced land rentals city now
plans to offer are needed. Declares that New Yorkers will also have to
make up their own minds about how seriously they want new enterprises.
Observes that every fresh project brings almost automatic protest from
community groups. Notes projected $40-million amusement pk on vacant
land now zoned for indus in Staten Island has elicited such vehement
neighborhood opposition that sponsors are considering transferring it
to Meadowlands in NJ. Holds with pk would go estimated $2-million a yr in
new city taxes, thousands of construction jobs for idle bldg trades
workers and upwards of
Saturday February 7 1976

   15/6/15   (Item 11 from file: 474)
00681144   NYT Sequence Number: 041980760505
(Ed on recommendations of newly formed Council on the NY Economy for tax
   relief to improve business climate in NYC and NYS. Lauds proposal to
   repeal NYC estate tax, which it calls counterproductive, but says it is
   not clear that NYC stock   transfer   tax is main reason some brokerage
   houses are moving to New Jersey. Holds stock   transfer   tax is
   negligible factor in investment decisions of small investors, while large
   block traders have their own incentives for shopping around among
   regional exchs. Says NYSE and ASE are entrenched as primary marketplaces,
   and although primacy may be threatened by natl electronic mkt system,
   this will not occur in next few yrs, with or without stock   transfer
   tax . Urges Council to make its own analysis of stock   transfer   tax.
)
Wednesday May 5 1976

   15/6/16   (Item 1 from file: 475)
05510271
SETTLEMENT IN TAX-SHELTER SCAM IS NEAR
Tuesday September 19 1989

   15/6/17   (Item 2 from file: 475)
05263556
LONDON'S ELECTRONIC TRADING OF SWISS EQUITIES COULD AFFECT TAXES AND VOLUME
   IN HOME MARKET
Monday October 10 1988

   15/6/18   (Item 3 from file: 475)
01130586   NYT Sequence Number: 012571790912
 (Automatic Data Processing Inc agrees in principle to acquire Programmed
    Tax   Systems Inc, computerized tax -services firm, for 130,000
   shares of its common (S).)
Wednesday September 12 1979

   15/6/19   (Item 1 from file: 583)

09481517
Antriebsspezialist Lenze wird AG
  GERMANY: LENZE TO BECOME AN AG
09 Mar 2001

   15/6/20   (Item 2 from file: 583)
09146319
SingTel targets higher debt-equity ratio
  SINGAPORE: EXPANSION PLANS OF SINGTEL
17 Aug 1999

   15/6/21   (Item 3 from file: 583)
09098071
Rise and rise of the national stealth service
  UK: SHARE TRANSFERS TO COST MORE
01 May 1999

   15/6/22   (Item 4 from file: 583)
09063638
Firm Gets Perks For LTO Project
  PHILIPPINES: STRADCOM BAGS PP 3.5 BN LTO DEAL
08 Feb 1999

   15/6/23   (Item 5 from file: 583)
06653579
Infobank shares drop on warning
  UK: PROFITS WARNING FROM INFOBANK INTERNATIONAL
09 Jul 1998

   15/6/24   (Item 6 from file: 583)
06616868
Capital gains 'too complex' for software
  UK: SHARE TRADING TAX BECOMES MORE COMPLICATED
21 April 1998

   15/6/25   (Item 7 from file: 583)
06548713
 BRAZIL : CHANGES IN THE STOCK STRATEGY
  BRAZIL : CHANGES IN THE STOCK STRATEGY
18 Nov 1997

   15/6/26   (Item 8 from file: 583)
06321748
SIB urges key change to trading system
  UK: SIB AND STOCK EXCHANGE DIFFER ON TAX RELIEF
08 Jun 1996

   15/6/27   (Item 9 from file: 583)
06123705
Dividends to be paid into bank accounts
  SINGAPORE: DIVIDENDS TO BE CREDITED INTO BANK
9 Mar 1995

15/6/28   (Item 10 from file: 583)
06023914
Freedom on a string?
  INDIA: ATOS STRUGGLE TO COMPETE WITH IA
June 1994

15/6/29   (Item 11 from file: 583)
01498050
SUL AMERICA TO INVEST Cz200m IN 1988
  BRAZIL - SUL AMERICA TO INVEST Cz200m IN 1988
1 December 1987

15/6/30   (Item 12 from file: 583)
01302144
SAVERS MOVE FUNDS INTO TRUST BANKS AND LIFE ASSURANCE
  JAPAN - SAVERS MOVE FUNDS INTO TRUST BANKS AND LIFE ASSURANCE
7 September 1988

15/6/31   (Item 1 from file: 139)
717563
TITLE: Did Steve Forbes Scare the Municipal Bond Market?
  PUBLICATION DATE: 1998

15/6/32   (Item 2 from file: 139)
708742
TITLE: A Quantitative Assessment of Electronic Commerce.
  PUBLICATION DATE: 1999

```
? show files;ds
File  20:Dialog Global Reporter 1997-2007/May 03
        (c) 2007 Dialog

Set     Items    Description
S1   10784590    STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR
                  SHARE OR SHARES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VE-
                  HICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR
                  INVESTMENTS
S2    3719880    TAX?? OR TARIFF OR TARIFFS OR TAXATION OR IRS OR (INTERNAL
                  OR INLAND)()REVENUE
S3    2445667    ROLLOVER OR ROLLOVERS OR ROLL???(2W)(OVER OR OVERS) OR CON-
                  VERT??? OR CONVERSION OR CONVERSIONS OR TRANSFER OR TRANSFERS
                  OR TRANSFERR??? OR WASH()SALE OR WASHSALE OR MANIPULAT???
S4     791261    FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-
                  ???? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL
                  OR SYNTAX OR SYNTACTICAL)()ANALYS?S
S5    2499967    AUTOMAT?? OR COMPUTERI? OR ELECTRONIC OR (PROGRAMMED OR DY-
                  NAMIC OR SMART OR INTELLIGENT)(2N)(AGENT OR AGENTS OR SERVO? -
                  OR BOT OR BOTS OR SYSTEM OR SYSTEMS) OR SOFTBOT OR SOFTBOTS OR
                  SOFT()(BOT OR BOTS) OR IA OR AI
S6     341815    S1(10N)S2
S7       4694    S3(5N)S4
S8          4    S5(S)S6(S)S7
S9       7886    S5(S)S6
S10      2383    S9(S)(S3 OR S4)
S11      2209    S3(S)S9
S12        33    S5(10N)S6(10N)S3
S13       180    S6(S)(S3(10N)S5)
S14         8    S4(S)S13
S15        41    S12 OR S14
S16        13    S15 NOT PY>2001
S17        12    S16 NOT PD=20010630:20070630
S18        12    RD  (unique items)
```

18/3,K/7
DIALOG(R)File 20:Dialog Global Reporter
(c) 2007 Dialog. All rts. reserv.

13283248 (USE FORMAT 7 OR 9 FOR FULLTEXT)
DUAL LISTING PROBLEM SOLVED
Samantha Enslin
BUSINESS DAY (SOUTH AFRICA), p12
October 13, 2000
JOURNAL CODE: FBUD   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 283

   (USE FORMAT 7 OR 9 FOR FULLTEXT)

     British companies with SA branch registers were reluctant about being
included in the **electronic** settlement schedule because they believed that
they would incur a British 1,5% stamp duty reserve **tax** charge on the
 transfer of their **shares** to a nominee to facilitate settlement under
Strate.
     The British **inland** **revenue** authorities have waived the requirement
on the understanding that Strate will prohibit the holding of...

   18/3,K/8
DIALOG(R)File 20:Dialog Global Reporter
(c) 2007 Dialog. All rts. reserv.

08719782 (USE FORMAT 7 OR 9 FOR FULLTEXT)
CheckFree Investment Services Launches Tax-Aware Account Adjuster
PR NEWSWIRE
December 15, 1999
JOURNAL CODE: WPRW   LANGUAGE: English   RECORD TYPE: FULLTEXT
WORD COUNT: 623

     ... portfolio performance at the same time. CheckFree Investment
Services is the leading outsourcing provider of **automated** investment
management, trading, portfolio performance and reporting systems used by
professional money managers and financial advisors.
     The new **tax** -aware account adjuster enables **portfolio** managers to
interactively view and **manipulate** **tax** lot level holdings in order to
minimize tax consequences. The resultant recommended trade can be...

18/6/1
30300692 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Q4 2003 Metron Technology Earnings Conference Call - Part 1
July 03, 2000
WORD COUNT: 4620

18/6/2
16558381 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**WR Hambrecht + Co Successfully Completes Tax Season Using GainsKeeper's
   Capital Gains Service**
May 08, 2001
WORD COUNT: 610

18/6/3
15905237 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Financial Seminar for Affluent Gays, Lesbians and Unmarried Couples
   Addresses Wealth-Building Strategies that Take into Account Unique Tax
   and Estate Planning Issues**
March 30, 2001
WORD COUNT: 552

18/6/4
14082362 (USE FORMAT 7 OR 9 FOR FULLTEXT)
TurboTax for Tax Year 2000 Sets New Standard for Automated Tax Preparation
December 05, 2000
WORD COUNT: 1588

18/6/5
13750138 (USE FORMAT 7 OR 9 FOR FULLTEXT)
CME Becomes a For-Profit Corporation
November 13, 2000
WORD COUNT: 1044

18/6/6
13683174 (USE FORMAT 7 OR 9 FOR FULLTEXT)
INLAND REVENUE / MODERNISING STAMP DUTY
November 08, 2000
WORD COUNT: 322

18/6/7
13283248 (USE FORMAT 7 OR 9 FOR FULLTEXT)
DUAL LISTING PROBLEM SOLVED
October 13, 2000
WORD COUNT: 283

18/6/8
08719782 (USE FORMAT 7 OR 9 FOR FULLTEXT)
CheckFree Investment Services Launches Tax-Aware Account Adjuster
December 15, 1999
WORD COUNT: 623

18/6/9

06692049 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Survey - Investment Software: Ghost in the machine - Portfolio management
    has come a long way since the early days but modern, well diversified
    portfolios need systems that can accommodate international currency and
    interest rate fluctuations**
August 13, 1999
WORD COUNT: 956

  18/6/10
05570004
**Banks and insurance companies open up on the net (Los bancos y las
    companias de seguros en la red)**
May 28, 1999
WORD COUNT: 247

  18/6/11
04984679 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Budget Summary.
April 08, 1999
WORD COUNT: 6218

  18/6/12
04232087 (USE FORMAT 7 OR 9 FOR FULLTEXT)
**Fidelity Investments Helps Prepare Investors for Active Markets and the
    Upcoming Tax Filing Season**
February 04, 1999
WORD COUNT: 1225

? show files;ds
File   9:Business & Industry(R) Jul/1994-2007/May 02
        (c) 2007 The Gale Group
File 15:ABI/Inform(R) 1971-2007/May 02
        (c) 2007 ProQuest Info&Learning
File 148:Gale Group Trade & Industry DB 1976-2007/May 02
        (c)2007 The Gale Group
File 275:Gale Group Computer DB(TM) 1983-2007/May 02
        (c) 2007 The Gale Group
File 476:Financial Times Fulltext 1982-2007/May 03
        (c) 2007 Financial Times Ltd
File 16:Gale Group PROMT(R) 1990-2007/May 02
        (c) 2007 The Gale Group
File 160:Gale Group PROMT(R) 1972-1989
        (c) 1999 The Gale Group
File 621:Gale Group New Prod.Annou.(R) 1985-2007/May 01
        (c) 2007 The Gale Group
File 624:McGraw-Hill Publications 1985-2007/May 03
        (c) 2007 McGraw-Hill Co. Inc
File 634:San Jose Mercury Jun 1985-2007/Apr 29
        (c) 2007 San Jose Mercury News
File 636:Gale Group Newsletter DB(TM) 1987-2007/May 02
        (c) 2007 The Gale Group
File 56:Computer and Information Systems Abstracts 1966-2007/Apr
        (c) 2007 CSA.

Set   Items   Description
S1   14875563   STOCK OR STOCKS OR PORTFOLIO OR PORTFOLIOS OR SECURITIES OR
                 SHARE OR SHARES OR (FINANCIAL OR INVESTMENT)()(VEHICLE OR VE-
                 HICLES OR PRODUCT OR PRODUCTS OR INSTRUMENT OR INSTRUMENTS) OR
                 INVESTMENTS
S2   3998165   TAX?? OR TARIFF OR TARIFFS OR TAXATION OR IRS OR (INTERNAL
                 OR INLAND)()REVENUE
S3   3867851   ROLLOVER OR ROLLOVERS OR ROLL???(2W)(OVER OR OVERS) OR CON-
                 VERT??? OR CONVERSION OR CONVERSIONS OR TRANSFER OR TRANSFERS
                 OR TRANSFERR??? OR WASH()SALE OR WASHSALE OR MANIPULAT???
S4   1345591   FILTER??? OR SORTATION OR SYNTHESI? OR MASSAG??? OR DISTIL-
                 ???? OR CULL??? OR GLEAN??? OR PARS??? OR MASK??? OR (LEXICAL
                 OR SYNTAX OR SYNTACTICAL)()ANALYS?S
S5   5694340   AUTOMAT?? OR COMPUTERI? OR ELECTRONIC OR (PROGRAMMED OR DY-
                 NAMIC OR SMART OR INTELLIGENT)(2N)(AGENT OR AGENTS OR SERVO? -
                 OR BOT OR BOTS OR SYSTEM OR SYSTEMS) OR SOFTBOT OR SOFTBOTS OR
                 SOFT()(BOT OR BOTS) OR IA OR AI
S6   576187   S1(10N)S2
S7   21710   S3(5N)S4
S8   1   S5(S)S6(S)S7
S9   4724   S5(S)S6
S10   3   S6(S)S7
S11   316   S3(S)S9
S12   165   S3(10N)S6(10N)S9
S13   7   S4(S)S12
S14   7   S4(2S)S12
S15   14   S4(F)S12
S16   105   S12 NOT PY>2001
S17   101   S16 NOT PD=20010630:20070630
S18   76   RD   (unique items)
S19   1588   S5(10N)S6
S20   55   S3(10N)S19
S21   62   S13 OR S20
S22   38   S21 NOT PY>2001
S23   35   S22 NOT PD=20010630:20070630

S24   27   RD   (unique items)

24/3,K/7   (Item 4 from file: 15)

01557542 02-08531
The business of banking: Looking to the future--part II
Williams, Julie L; Gillspie, James F E Jr
Business Lawyer v52n4 PP: 1279-1331 Aug 1997
ISSN: 0007-6899 JRNL CODE: BLW
WORD COUNT: 26159

...TEXT: processing services to their customers and to other banks,
including account balance inquiries, account transfers, **transaction**
information, bill paying, financial planning, investments **and** stock
market information and transactions, electronic **checkbook** , and
electronic **tax   filing**).

103. See Conditional Approval No. 221, supra note 28, at 2 (finding that
national banks...

24/3,K/12   (Item 3 from file: 148)

13015110   SUPPLIER NUMBER: 54252364   (USE FORMAT 7 OR 9 FOR FULL TEXT)
What's new in tax and accounting research.
Wolosky, Howard W.
Practical Accountant, 31, 6, 47(4)
June, 1998
ISSN: 0032-6321   LANGUAGE: English   RECORD TYPE: Fulltext; Abstract
WORD·COUNT: 2746   LINE COUNT: 00228

...   which has print, CD-ROM, online and e-mail products. For example,
it offers its **Transfer** Pricing Report, **Financial   Products** Report and
Multistate **Tax   Electronic** Weekly on Lotus Notes and through the Web.
TMSTATE is a complementary e-mail notification...

24/3,K/13   (Item 4 from file: 148)

11755625   SUPPLIER NUMBER: 55055486   (USE FORMAT 7 OR 9 FOR FULL TEXT)
**Statement by Edward W. Kelley, Jr., Member, Board of Governors of the
   Federal Reserve System, before the Committee on Banking and Financial
   Services, U.S. House of Representatives, April 13, 1999.**
Federal Reserve Bulletin, 85, 6, 404
June, 1999
ISSN: 0014-9209   LANGUAGE: English   RECORD TYPE: Fulltext; Abstract
WORD COUNT: 7806   LINE COUNT: 00650

...   Reserve is offering customers the opportunity to test future-dated
transactions for Fedwire funds and **securities   transfer** , Fed **Automated**
Clearing House, the Integrated Accounting System, Treasury **Tax** and Loan,
Check, and other services with **electronic** data exchanges. To date, more
than 8,000 institutions have tested with us, and the...

24/3,K/18   (Item 1 from file: 275)

01671570   SUPPLIER NUMBER: 15074098   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Trading department support systems. (Buyers Guide)
Wall Street & Technology, v11, n8, p50(10)
Annual, 1994
DOCUMENT TYPE: Buyers Guide   ISSN: 1060-989X   LANGUAGE: ENGLISH
RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 10636   LINE COUNT: 00949

...   ANSI, X/Open, SAA, OSF/1 compliant back-office
     A global trade processing system that **automates** front and back
office trading activities for foreign exchange, money market, **securities** ,
derivative products (futures, options, **IRS** 's, FRA's, FXA's) and bullion
trnasactions. Deal capture with limits checking, on-line...from
brokers-issues, side, quantity, and quoter. Extensive system security
features. Orders can be sorted/ **filtered** by issue, time, broker or trader.
     Real-Time USA Oakbrook Terrace Tower, Ste. 1420, Oakbrook...LANs,
UNIX An integrated, modular treasury system providing bank connections for
balance retrieval and funds **transfer** , cash position, forecasting,
investment and debt issuing/tracking account. Analysis, and G/ ...customer
needs. Its solutions off the industry's most popular intelligent
workstations for data display, **manipulation** and analysis, supporting
industry standard graphical interfaces such as X Windows, Motif and Sun
OpenWindows...

...processing system that automates front and back office trading
activities for foreign exchange, money market, **securities** , derivative
products (futures, options **IRS** 's, FRA's FXA's), and bullion transactions.
Deal capture with limits checking, on-line...

 24/3,K/27   (Item 3 from file: 636)

01917805   Supplier Number: 43355641   (USE FORMAT 7 FOR FULLTEXT)
MONEY LAUNDERING: WORLD AWASH WITH DIRTY MONEY, EUROPEAN CONFERENCE TOLD
European Report, n1800, pN/A
Oct 5, 1992
Language: English   Record Type: Fulltext
Document Type: Newsletter; Trade
Word Count: 265

...   question of fighting against criminals "depositing suitcases of
money in bank accounts, but against the **electronic   transfer** of huge
funds to numerous accounts in **tax** havens, as well as **investments** in
property and industry". He said that since 1991 Bulgaria, Czechoslovakia
and Hungary had become...

24/6/1   (Item 1 from file: 9)
01890475   Supplier Number: 25354045   (USE FORMAT 7 OR 9 FOR FULLTEXT)
Unit Investment Trusts Make Foray into Variable Annuities
July 01, 1999
WORD COUNT: 859

24/6/2   (Item 2 from file: 9)
01868044   Supplier Number: 24681894   (USE FORMAT 7 OR 9 FOR FULLTEXT)
UITs Make Foray into Variable Annuities
June 14, 1999
WORD COUNT: 769

24/6/3   (Item 3 from file: 9)
01204824   Supplier Number: 23836517
**ASTEC (BSR) BOOSTS PROFITS 20% DESPITE SLIM RISE IN SALES BY BRINGING IN
  HIGHER-MARGIN NEW PRODUCTS**
March 18, 1997
WORD COUNT: 288

24/6/4   (Item 1 from file: 15)
01997577   51277014
                USE FORMAT 7 OR 9 FOR FULL TEXT
AT&T's IR Web Site Redesign
Mar 20, 2000
WORD COUNT: 187

24/6/5   (Item 2 from file: 15)
01879899   05-30891
Computer-related services subject to sales tax
Fall 1999   LENGTH: 2 Pages

24/6/6   (Item 3 from file: 15)
01845318   04-96309
                USE FORMAT 7 OR 9 FOR FULL TEXT
Statements to the Congress: Edward W. Kelley Jr.
Jun 1999   LENGTH: 10 Pages
WORD COUNT: 7356

24/6/7   (Item 4 from file: 15)
01557542   02-08531
                USE FORMAT 7 OR 9 FOR FULL TEXT
The business of banking: Looking to the future--part II
Aug 1997   LENGTH: 53 Pages
WORD COUNT: 26159

24/6/8   (Item 5 from file: 15)
00756165   94-05557
                USE FORMAT 7 OR 9 FOR FULL TEXT
Program automates employee stock plan administration
Sep 1993   LENGTH: 1 Pages
WORD COUNT: 593

24/6/9   (Item 6 from file: 15)
00226875 84-05436
Current Developments in Compensation Tax Planning
Winter 1984   LENGTH: 8 Pages

24/6/10   (Item 1 from file: 148)
13612929   SUPPLIER NUMBER: 76428354   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Financial and Business Statistics.(of Federal Reserve banks, includes
  glossary)(Illustration)(Statistical Data Included)
May, 2001
WORD COUNT: 26235   LINE COUNT: 12979

24/6/11   (Item 2 from file: 148)
13267136   SUPPLIER NUMBER: 72498642   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Financial Seminar for Affluent Gays, Lesbians and Unmarried Couples
  Addresses Wealth-Building Strategies that Take into Account Unique Tax
  and Estate Planning Issues.
March 30, 2001
WORD COUNT: 578   LINE COUNT: 00054

24/6/12   (Item 3 from file: 148)
13015110   SUPPLIER NUMBER: 54252364   (USE FORMAT 7 OR 9 FOR FULL TEXT)
What's new in tax and accounting research.
June, 1998
WORD COUNT: 2746   LINE COUNT: 00228

24/6/13   (Item 4 from file: 148)
11755625   SUPPLIER NUMBER: 55055486   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Statement by Edward W. Kelley, Jr., Member, Board of Governors of the
  Federal Reserve System, before the Committee on Banking and Financial
  Services, U.S. House of Representatives, April 13, 1999.
June, 1999
WORD COUNT: 7806   LINE COUNT: 00650

24/6/14   (Item 5 from file: 148)
11206920   SUPPLIER NUMBER: 55226356   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Concord EFS Announces Second Quarter 1999 Results.
July 22, 1999
WORD COUNT: 502   LINE COUNT: 00058

24/6/15   (Item 6 from file: 148)
07670455   SUPPLIER NUMBER: 16026993   (USE FORMAT 7 OR 9 FOR FULL TEXT)
ADP positioned to control funds at point of payroll. (Automatic Data
  Processing Inc.)(Management Strategies) (Column)
Jan 9, 1995
WORD COUNT: 1302   LINE COUNT: 00106

24/6/16   (Item 7 from file: 148)
05890699   SUPPLIER NUMBER: 12226644   (USE FORMAT 7 OR 9 FOR FULL TEXT)
Desktop finance. (accounting software packages)
June 22, 1992
WORD COUNT: 1294   LINE COUNT: 00098

```
24/6/17      (Item 8 from file: 148)
04793371      SUPPLIER NUMBER: 09420719    (USE FORMAT 7 OR 9 FOR FULL TEXT)
Nynex acquires Stockholder Systems; becomes largest U.S. provider of
   financial application software. (Nynex Information Solutions Group)
   (includes overviews of the two companies)
Sept 19, 1990
WORD COUNT:   656    LINE COUNT:  00074


24/6/18      (Item 1 from file: 275)
01671570      SUPPLIER NUMBER: 15074098    (USE FORMAT 7 OR 9 FOR FULL TEXT)
Trading department support systems. (Buyers Guide)
Annual, 1994
WORD COUNT:   10636    LINE COUNT:  00949


24/6/19      (Item 1 from file: 476)
0008535258  B0GAEEEAB6FT
The Finance Bill: Background notes of clauses and schedules of the Finance
   Bill
Friday, January 5, 1996
Word Count:  12,065


24/6/20      (Item 2 from file: 476)
0008049122  B0FK2FUAGNFT
Budget 95 (Red Book Details): Simpler tax system and a lift for savers -
   Tax and national insurance measures / Marginal rates cut for 18m
Wednesday, November 29, 1995
Word Count:  3,861


24/6/21      (Item 1 from file: 16)
08078039    Supplier Number: 67323056   (USE FORMAT 7 FOR FULLTEXT)
Last chance for 2000 AMAF donations.(American Marketing Association
   Foundation)(Brief Article)(Editorial)
Nov 20, 2000
Word Count:   235


24/6/22      (Item 2 from file: 16)
06326290    Supplier Number: 54589983
UK: SHARE TRANSFERS TO COST MORE.
May 1, 1999


24/6/23      (Item 3 from file: 16)
01915893    Supplier Number: 42440725   (USE FORMAT 7 FOR FULLTEXT)
Bankers Trust
Oct 14, 1991
Word Count:   290


24/6/24      (Item 1 from file: 160)
01210971
Bank of America adds brokerage to home banking.
June 7, 1985
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24/6/25   **(Item 1 from file: 636)**
03948020   Supplier Number: 50271967   (USE FORMAT 7 FOR FULLTEXT)
DAVIDSOHN TO RELEASE NEW ACATS SYSTEM.
August 24, 1998
Word Count: 300

24/6/26   **(Item 2 from file: 636)**
02505502   Supplier Number: 45035310   (USE FORMAT 7 FOR FULLTEXT)
Indonesia: Corporate Tax Reform
Oct, 1994
Word Count: 1441

24/6/27   **(Item 3 from file: 636)**
01917805   Supplier Number: 43355641   (USE FORMAT 7 FOR FULLTEXT)
MONEY LAUNDERING: WORLD AWASH WITH DIRTY MONEY, EUROPEAN CONFERENCE TOLD
Oct 5, 1992
Word Count: 265